

Fradkin, Fredkin or Fridkin?

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Collaborators:

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- O. Salberger et al., J. Stat. Mech, 063103 (2018)
- T. Udagawa and H. Katsura, *J. Phys. A*, **50**, 405002 (2017)



Outline

1. Introduction

- Frustration-free systems
- Ferromagnetic XXX chain
- t (=q) deformed model
- 2. Fredkin spin chain
- 3. Main results
- 4. Super-frustration-free systems
- 5. Summary



- Classification of solvable models
 - Integrable systems
 Free fermions/bosons, Bethe ansatz
 Infinitely many conserved charges
 - Frustration-free systems
 Ground state (g.s.) minimizes each local Hamiltonian
 Explicit g.s., but hard to obtain excited states

Not exclusive. Ferromagnetic Heisenberg chain is *integrable* & *Frustration-free*! (H. Bethe, 1931)

■ Today's subject

- Frustration-free spin chains related to combinatorics
- Peculiar entanglement properties
 Non-area law behavior of EE (volume-law, ...)
- SUSY and super-frustration-free systems?





A crash course in inequalities

■ Positive semidefinite operators

Appendix in H.Tasaki, Prog. Theor. Phys. 99, 489 (1998).

 \mathcal{H} : finite-dimensional Hilbert space.

A, B: Hermitian operators on \mathcal{H}

- **Definition 1.** We write $A \ge 0$ and say A is positive semidefinite (p.s.d.) if $\langle \psi | A | \psi \rangle \ge 0$, $\forall | \psi \rangle \in \mathcal{H}$.
- **Definition 2.** We write $A \geq B$ if $A B \geq 0$.

■ Important lemmas

- Lemma 1. $A \ge 0$ iff all the eigenvalues of A are nonnegative.
- Lemma 2. Let C be an arbitrary matrix on \mathcal{H} . Then $C^{\dagger}C \geq 0$. Cor. A projection operator $P = P^{\dagger}$ is p.s.d.
- Lemma 3. If $A \ge 0$ and $B \ge 0$, we have $A + B \ge 0$.



- Anderson's bound (*Phys. Rev.* 83, 1260 (1951).
 - Total Hamiltonian: $H = \sum_{j} h_{j}$
 - Sub-Hamiltonian: h_j that satisfies $h_j \geq E_j^{(0)} \mathbf{1}$. ($E_j^{(0)}$ is the lowest eigenvalue of h_j)

(The g.s. energy of
$$H$$
) =: $E_0 \ge \sum_j E_j^{(0)}$

Gives a lower bound on the g.s. energy of AFM Heisenberg model.

■ Frustration-free Hamiltonian

The case where the *equality* holds.

(Pseudo-)Definition. $H = \sum_{j} h_{j}$ is said to be *frustration-free* when the g.s. minimizes individual sub-Hamiltonians h_{j} .

Ex.) S=1 Affleck-Kennedy-Lieb-Tasaki (AKLT), Kitaev's toric code, ...

$$H = \sum_{j} h_j, \quad h_j = \boldsymbol{S}_j \cdot \boldsymbol{S}_{j+1} + \frac{1}{3} (\boldsymbol{S}_j \cdot \boldsymbol{S}_{j+1})^2$$



Ferromagnetic Heisenberg chain

■ Hamiltonian (S=1/2, OBC)

$$H = \sum_{j=1}^{N-1} h_j, \quad h_j = -S_j \cdot S_{j+1} + \frac{1}{4}$$

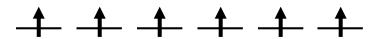
- SU(2) symmetry $[H,S^{\alpha}_{\mathrm{tot}}]=0, \qquad S^{\alpha}_{\mathrm{tot}}=\sum S^{\alpha}_{j} \quad (\alpha=z,+,-)$
- *h_i* is p.s.d. as it is a projector to singlet

$$h_j$$
 is p.s.d. as it is a projector to singlet
$$h_j = |S_{j,j+1}\rangle\langle S_{j,j+1}|, \quad |S_{j,j+1}\rangle = \frac{1}{\sqrt{2}}(|\uparrow_j\downarrow_{j+1}\rangle - |\downarrow_j\uparrow_{j+1}\rangle)$$
 Spin-singlet state

Ground states

All-up state

$$|\uparrow\rangle := |\uparrow_1\uparrow_2 \cdots \uparrow_N\rangle$$



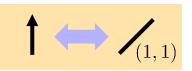
is a zero-energy state of of each $h_i \rightarrow All-up$ state is a g.s. of H

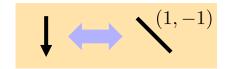
- Other g.s.: $(S_{tot}^-)^k | \uparrow \rangle$ (k = 0, 1, ..., N)
- Unique in each total S^z sector (due to Perron-Frobenius thm.)



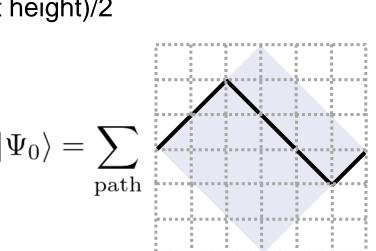
Graphical representation

■ Spin configs ←→ lattice paths





- Spin state at site j: $\mathbf{m}_j = \pm 1/2$ Height between j and j+1: $\mathbf{h}_{j+1/2} = 2(\mathbf{m}_1 + \mathbf{m}_2 + \cdots + \mathbf{m}_j)$
- Eigenvalue of total $S^z = (\text{the last height})/2$
- Graphical reps. of G.S.
 - The states in $S^z = M$ sector \rightarrow starting from height zero ending at $h_{N+1/2} = 2M$
 - G.s. in $S^z = M$ sector
 - = Equal-weight superposition of all such states
 - Local transition rule



Example (*N*=6)





t-deformed model

Hamiltonian (S=1/2, OBC) $|S_{j,j+1}(t)\rangle = \frac{1}{\sqrt{1+t^2}}(|\uparrow_j\downarrow_{j+1}\rangle - t|\downarrow_j\uparrow_{j+1})$ $H = \sum_{i=1}^{N-1} h_j, \quad h_j = |S_{j,j+1}(t)\rangle\langle S_{j,j+1}(t)| \qquad t\text{-deformed singlet } (t>0)$ $t \to 1 \text{ SU(2) spin singlet}$

XXZ chain with boundary field

$$h_j \propto -\left[S_j^x S_{j+1}^x + S_j^y S_{j+1}^y + \frac{t+t^{-1}}{2} S_j^z S_{j+1}^z + \frac{t-t^{-1}}{4} (S_j^z - S_{j+1}^z)\right] + \text{const.}$$

• $U_q(sl_2)$ with q=t. H commutes with

$$J^{z}(t) = 2S_{\text{tot}}^{z}, \quad J^{+}(t) = \sum_{j=1}^{N} t^{\sigma_{1}^{z}} \cdots t^{\sigma_{j-1}^{z}} \sigma_{j}^{+}, \quad J^{-}(t) = (J^{+}(t))^{\dagger}$$

Alcaraz et al., JPA 20 (1987), Pasquier-Saleur, NPB 330 (1990)

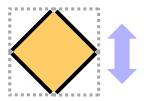
■ Ground states

- $|\uparrow\rangle:=|\uparrow_1\uparrow_2\cdots\uparrow_N\rangle$ annihilated by each h_j is a g.s. of H.
- Other g.s.: $J^-(t)^k | \uparrow \rangle$ (k = 0, 1, ..., N) Unique in each M sector Alcaraz, Salinas, Wreszinski, PRL **75** (1995), Gottstein, Werner (1995).



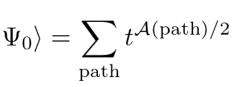
Graphical representation

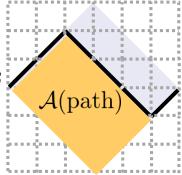
- Graphical g.s.
 - Local g.s. of $h_j = |\uparrow_j\uparrow_{j+1}\rangle$, $|\downarrow_j\downarrow_{j+1}\rangle$, $t|\uparrow_j\downarrow_{j+1}\rangle + |\downarrow_j\uparrow_{j+1}\rangle$
 - Transition rule



Area difference → coefficient t

- G.s. in $S^z = M$ sector
- = Area-weighted superposition
- of states s.t. ${\sf h}_{N+1/2}=2M$ $\langle \Psi_M|\Psi_M\rangle$ as a ${\it q}$ -binomial $\left[egin{array}{c} n \\ m \end{array} \right]_a$





Anything to do with Fridkin?

- Not that much...
- Studied a non-frustration-free case $t^3 = q^3 = -1$ Fridkin, Stroganov, Zagier, *JPA* **33** (2000); *JSP* **102** (2001)
- G.s. energy takes a very simple form! No finite-size effect.



Outline

1. Introduction

2. Fredkin spin chain

- Colorless (spin-1/2) model w/ & w/o deformation
- Colorful (higher-spin) model w/ & w/o deformation

- 3. Main results
- 4. Super-frustration-free systems
- 5. Summary

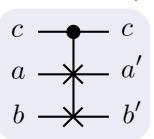


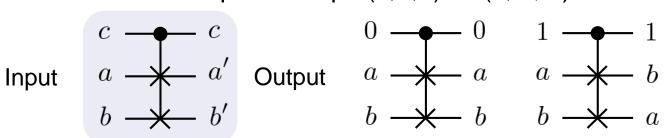
Fredkin's work

- Edward Fredkin (1934-)
 - Physicist and computer scientist. Early pioneer of Digital Physics.
 - Primary contributions to reversible computing and cellular automata (from Wikipedia)

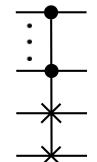
■ Fredkin gate

• Controlled swap that maps $(c,a,b) \rightarrow (c,a',b')$





Generalization



- (Classically) universal, i.e., any logical or arithmetic operation can be constructed entirely of Fredkin gates.
- Quantum version = unitary logic gate Implementation using photons: R.B.Patel et al., Sci. Adv. 2 (2016)



Fredkin spin chain

■ Hamiltonian (S=1/2, OBC, N even)

$$H = H_{\partial} + \sum_{j=1}^{N-1} h_j$$

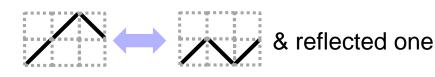
Salberger and Korepin, Rev. Math. Phys. 29 (2017)

Boundary term

$$H_{\partial} = |\downarrow_1\rangle\langle\downarrow_1| + |\uparrow_N\rangle\langle\uparrow_N|$$

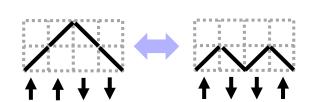
Bulk terms ~ Fredkin gates

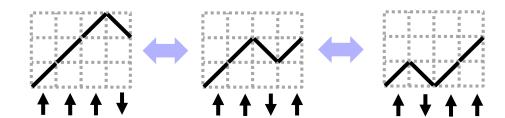
Fredkin moves



$$h_j = |\uparrow_j\rangle\langle\uparrow_j|\otimes|S_{j+1,j+2}\rangle\langle S_{j+1,j+2}| + |S_{j,j+1}\rangle\langle S_{j,j+1}|\otimes|\downarrow_{j+2}\rangle\langle\downarrow_{j+2}|$$

- Lacks SU(2) symmetry, but preserves U(1). PT-like symmetry
- Equivalence classes



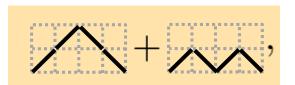


H is block-diagonal w.r.t. disconnected sectors

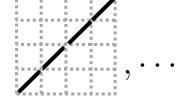


Ground states

- Importance of $H_{\partial} = |\downarrow_1\rangle\langle\downarrow_1| + |\uparrow_N\rangle\langle\uparrow_N|$
 - G.S. of the bulk term → highly degenerate!







- Only one of them is annihilated by H_{∂}
- Penalized by H_{∂}

■ Dyck paths

- Paths from (0,0) to (*N*,0)
- Never pass below the x axis
- Graphical reps. of g.s.
 - Equal-weight superposition of all Dyck paths
- (0,0) (N,0)

$$|\Psi_0
angle = \sum_{
m path}$$

- FM state with M=0 projected to the Dyck sector
- Catalan number! $\langle \Psi_0 | \Psi_0 \rangle = \frac{1}{n+1} \binom{2n}{n}, \quad N = 2n$



t-deformed model

■ Hamiltonian (S=1/2, OBC) Salberger et al., J. Stat. Mech. (2017)

$$H = H_{\partial} + \sum_{j=1}^{N-1} h_j$$

Boundary term

$$H_{\partial} = |\downarrow_1\rangle\langle\downarrow_1| + |\uparrow_N\rangle\langle\uparrow_N|$$

Bulk terms

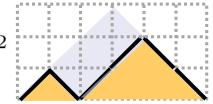
$$h_{j} = |\uparrow_{j}\rangle\langle\uparrow_{j}| \otimes |S_{j+1,j+2}(t)\rangle\langle S_{j+1,j+2}(t)| + |S_{j,j+1}(t)\rangle\langle S_{j,j+1}(t)| \otimes |\downarrow_{j+2}\rangle\langle\downarrow_{j+2}|$$

t-deformed singlet (*t*>0)

$$|S_{1,2}(t)\rangle = \frac{|\uparrow_1\downarrow_2\rangle - t|\downarrow_1\uparrow_2\rangle}{\sqrt{1+t^2}}$$

- The same equivalence classes as t=1(undeformed)
- Graphical g.s.
 - Area-weighted superposition of all Dyck paths

$$|\Psi_0\rangle = \sum_{\text{path}} t^{\mathcal{A}(\text{path})/2}$$



- Unique g.s.
- Projection of the g.s. of DW XXZ
- Carlitz-Riordan q(=t) Catalan number

$$\langle \Psi_0 | \Psi_0 \rangle = \sum_{\text{path}} t^{\mathcal{A}(\text{path})}$$



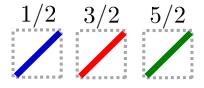
Colorful (higher-spin) model

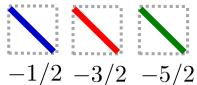
■ Spin states \leftarrow \rightarrow colored steps (c = 1, 2, ..., s)

$$+\left(c-\frac{1}{2}\right)$$
 up step with color $c=:\uparrow^c$

$$-\left(c-\frac{1}{2}\right)$$
 down step with color $c=:\downarrow^c$

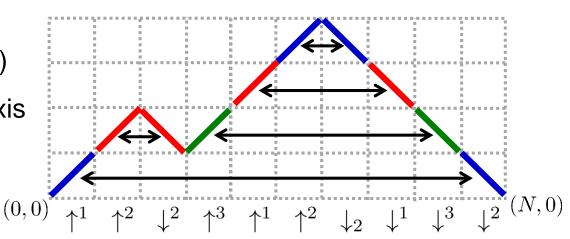
s=3 (spin-5/2)





■ Colored Dyck paths

- Paths from (0,0) to (*N*,0)
- Never go below the x axis
- Matched ↑ and ↓ steps have the same color



■ Frustration-free models

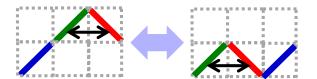
- Undeformed model: Salberger and Korepin, Rev. Math. Phys. 29 (2017)
- Deformed model: Salberger et al., J.Stat.Mech. (2017)

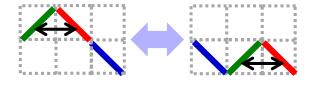


Ground states

■ Hamiltonian (OBC)

- Boundary term: $H_{\partial}(s) = \sum_{c=1}^{s} (|\downarrow_1^c\rangle\langle\downarrow_1^c| + |\uparrow_N^c\rangle\langle\downarrow_N^c|)$
- Bulk term: $H_F(s,t) + H_X(s)$ Sum of projectors.
- Transition rules

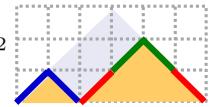






- Invariant under permutation of colors
- Graphical g.s.
 - Area-weighted superposition of all colored Dyck paths

$$|\Psi_0\rangle = \sum_{\text{path}} t^{\mathcal{A}(\text{path})/2}$$



- Unique g.s. of H
- Normalization $\langle \Psi_0^{(s)}|\Psi_0^{(s)}\rangle=s^{N/2}\langle \Psi_0^{(1)}|\Psi_0^{(1)}\rangle$

Norm for colorless (s=1) model

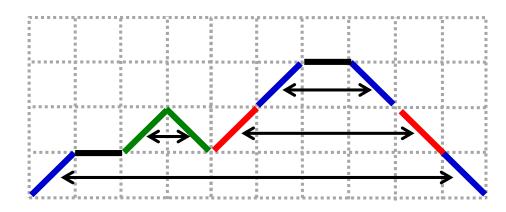


Movassagh-Shor's integer spin chains

- Frustration-free models
 - Spin-1: Bravyi et al., PRL 109 (2012)
 - Spin-s: Movassagh and Shor, PNAS 113 (2016)
 - Deformed model: Zhang, Ahmadain, Klich, PNAS 114 (2017)

■ Colored Motzkin paths

- Flat step $\rightarrow m=0$, up/down step with $c \rightarrow m=\pm c$ (c=1,...,s)
- Paths from (0,0) to (*N*,0)
- Never go below the x axis
- Matched ↑ and ↓ steps have the same color



■ Ground state

- Equal (or weighted) superposition of all colored Motzkin paths
- Peculiar entanglement properties. Critical at *t*=1 (undeformed case)



Outline

- 1. Introduction
- 2. Fredkin spin chain

3. Main results

- Half-chain entanglement
- Volume-law when s>1 and t>1
- Other results
- 4. Super-frustration-free systems
- 5. Summary

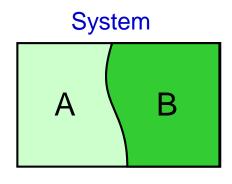


Quantum entanglement

- Schmidt decomposition
 - Many-body g.s. (normalized)

$$|\Psi\rangle = \sum_{\alpha=1}^{\chi} \sqrt{p_{\alpha}} |\phi_{\alpha}^{A}\rangle \otimes |\phi_{\alpha}^{B}\rangle$$

- Orthonormal states $\phi_{\alpha}^{A} \in \mathcal{H}_{A}, \phi_{\alpha}^{B} \in \mathcal{H}_{B} \{ |\phi_{\alpha}^{A}\rangle \}, \{ |\phi_{\alpha}^{B}\rangle \}$



$$\{\left|\phi_{\alpha}^{A}\right\rangle\},\{\left|\phi_{\alpha}^{B}\right\rangle\}$$

- Schmidt coefficient p_{α} Schmidt rank χ = (The number of $p_{\alpha} \neq 0$)
- Reduced density matrix $\rho_A = \operatorname{Tr}_B |\Psi\rangle\langle\Psi| = \sum_{\alpha} p_{\alpha} |\phi_{\alpha}^A\rangle\langle\phi_{\alpha}^A|$
 - Entanglement (von Neumann) entropy

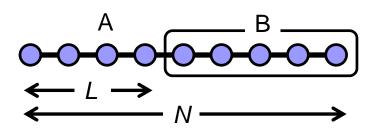
$$S = -\operatorname{Tr}\rho_A \log \rho_A = -\sum_{\alpha=1}^{\chi} p_\alpha \log p_\alpha$$

 Entanglement spectrum Li and Haldane, *PRL* **101** (2008)

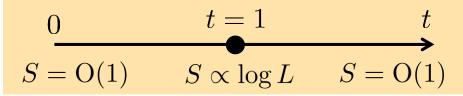
$$p_{\alpha} = e^{-\xi_{\alpha}} \qquad (\alpha = 1, 2, \dots)$$



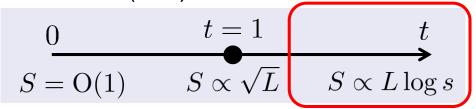
- Scaling of Entanglement entropy (EE) in 1D
 - Area law: S is bounded by a constant
 - Volume law: $S \propto L$
 - CFT scaling: $S \propto \log L$



- Gapped spectrum → area law (Hastings' thm., *J.Stat.Mech.* (2007))
- EE phase diagram
 - Colorless (s=1) case



• Colorful (s>1) case



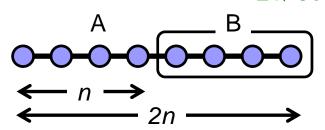


- Non-area law implies gapless spectrum above g.s.
- Volume law when s>1, t>1
 though H consists of local terms

Cf.) Vitagliano et al., NJP 12 (2010); Ramirez et al., J.Stat.Mech (2014)

Half-chain entanglement

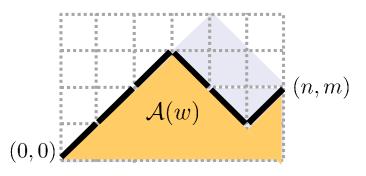
- System size: 2n, subsystem=left half
- EE of subsystem A: $S_n(s,t)$



\blacksquare q-ballot numbers (q=t)

- $w \in C_{n,m}$: A path from (0,0) to (n,m)
- A(w): Area between w and the x-axis
- $M_{n,m}(t) = \sum t^{\mathcal{A}(w)}$

Ex.)
$$M_{6,2}(t) = t^{14} + t^{12} + 2t^{10} + 2t^8 + 2t^6 + t^4$$



 $M_{n,m}=0$ if n-m is odd.

■ EE in terms of $M_{n,m}$

$$S_n(s,t) = -\sum_{m=0}^{n} p_{n,m}(s,t) \log p_{n,m}(s,t) \qquad p_{n,m}(s,t) = s^{-m} \frac{\{M_{n,m}(t)\}^2}{M_{2n,0}(t)}$$

$$p_{n,m}(s,t) = s^{-m} \frac{\{M_{n,m}(t)\}^2}{M_{2n,0}(t)}$$

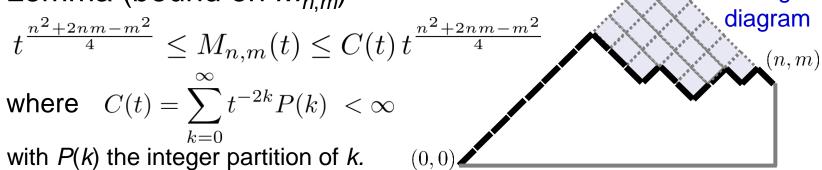
• Normalization $M_{2n,0}(t) \rightarrow \text{Carlitz-Riordan } q\text{-Catalan num}$.

Young



Proof of the volume law (s>1, t>1)

■ Lemma (bound on $M_{n,m}$)

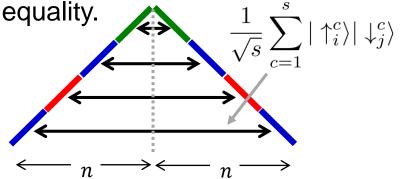


■ Theorem

When t > 1, the EE $S_n(s,t)$ satisfies $n \log s + D_1(s,t) \le S_n(s,t) \le n \log s + D_2(t) + D_3(t),$ where $D_1(s,t)$, $D_2(t)$, and $D_3(t)$ are constants independent of n.

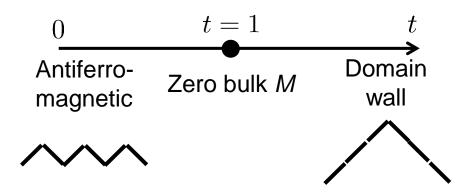
Proof) Based on Lemma and Gibbs inequality.

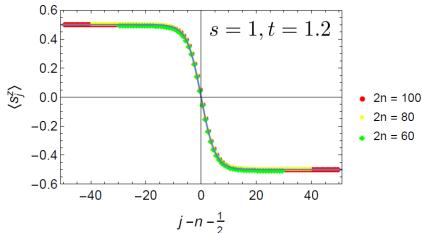
- $s=1 \rightarrow \text{Area law}, s>1 \rightarrow \text{Volume law}$
- For s>1 and t infinity, each matched pair is maximally entangled.



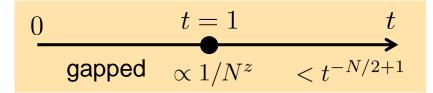


■ Magnetization

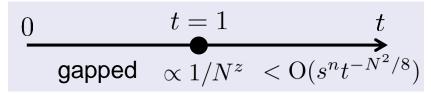




- Finite-size gap
 - Colorless (s=1) case



Colorful (s>1) case



- Gap for *t*<<1 can be proved using Knabe's method.
- Power law at *t*=1. Movassagh, arXiv:1609.09160.
- Exponentially or super-exponentially small gap for *t*>1. Udagawa-Katsura, *JPA* **50** (2017); Zhang-Klich, *JPA* **50** (2017)



Fradkin's work

- Eduardo Fradkin ≠ Edward Fredkin
 - Argentinian-American theoretical physicist at University of Illinois at Urbana-Champaign.
 - Working in various areas of cond-mat.
 physics (FQHE etc.) using QFT approaches (from Wikipedia)
- Fradkin's paper on Fredkin chain
 - Chen, Fradkin, Witczak-Krempa, *JPA* **50**, 464002 (2017)
 - Quantum Lifshitz model in 1+1D
 Continuum counterpart (≠ continuum limit) of Fredkin chain
 Dynamical exponent z = 2
 - DMRG study on the original (lattice) model z ~ 3.23 (z₀ ~ 2.76) for the lowest excitation with $S_{\rm tot}^z=\pm 1\ (0)$
 - Fredkin-Heisenberg chain $H_{\mathrm{bulk}} = \alpha H_F + 2(1-\alpha)H_H$



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- N=1 SUSY QM
- Local supercharges
- Majorana-Nicolai model
- 5. Summary



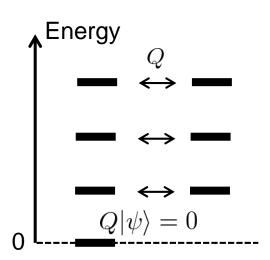
N=1 Supersymmetric (SUSY) QM

■ Algebraic structure

- Fermionic parity: $(-1)^F$ (F: total fermion num.)
- Supercharge: $Q \quad (Q^\dagger = Q)$ anti-commutes with $(-1)^F$
- Hamiltonian: $H = Q^2$
- Symmetry: $[H, (-1)^F] = [H, Q] = 0.$

■ Spectrum of *H*

- $E \ge 0$ for all states, as H is p.s.d
- E > 0 states come in pairs $\{|\psi\rangle,\,Q|\psi\rangle\}$
- E = 0 state must be annihilated by Q
 - G.S. energy = $0 \rightarrow SUSY$ *unbroken*
 - G.S. energy > 0 → SUSY broken





- "Local" supercharge
 - Total supercharge: $Q = \sum_{i} q_{i}$
 - Local supercharge: Each q_i anti-commutes with $(-1)^F$

Definition. $Q = \sum_j q_j$ is said to be *super-frustration-free* if there exists a state $|\psi\rangle$ such that $|\psi\rangle = 0$ for all j.

Lattice Majorana fermions

$$(\gamma_i)^{\dagger} = \gamma_i, \quad \{\gamma_i, \gamma_j\} = 2\delta_{ij}$$

- Fermionic parity: $(-1)^F = i^n \gamma_1 \gamma_2 \cdots \gamma_{2n}$
- Complex fermions from Majoranas

$$c_j^{\dagger} = \frac{1}{2}(\gamma_{2j-1} - \mathrm{i}\gamma_{2j})$$

Each γ fermion carries quantum dimension $\sqrt{2}$



Majorana-Nicolai model

Definition

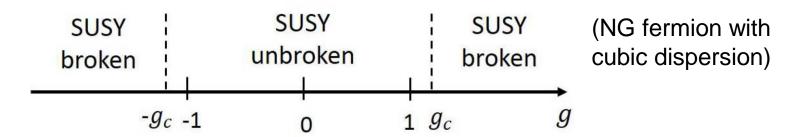
• Supercharge $Q = \sum_{j} (g\gamma_j + \mathrm{i}\gamma_{j-1}\gamma_j\gamma_{j+1}), \quad (g \in \mathbb{R})$

Hamiltonian

 $H=Q^2$ consists of quadratic and quartic terms in γ

■ Phase diagram

- Sannomiya-Katsura, arXiv:1712.01148
- O'Brien-Fendley, arXiv:1712.0662, PRL 120 (2018) [More general]



- Free-fermionic when g>>1. Rigorous upper bound on g_c .
- Integrable at g=0, super-frustration-free at $g=\pm 1$.



Super-frustration-free at g=1

$$Q = \sum_{l=1}^{N/2} (\gamma_{2l-2} + \gamma_{2l+1}) \underbrace{(1 + i\gamma_{2l-1}\gamma_{2l})}_{l=1} = \sum_{l=1}^{N/2} (\gamma_{2l-1} + \gamma_{2l+2}) \underbrace{(1 + i\gamma_{2l}\gamma_{2l+1})}_{l=1}$$

- h_{2l-1} : Local H of Kitaev chain in a trivial phase
- h_{2l} : Local H of Kitaev chain in a topological phase
- $H = Q^2$ has two g.s. annihilated by all local q. Easy to write down their explicit forms.

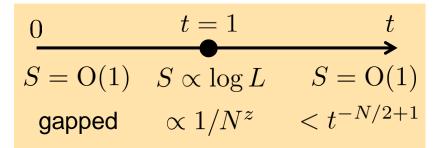
Nicolai models with N=2 SUSY

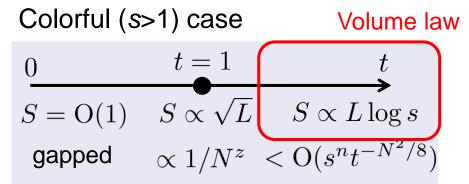
- Nicolai, *JPA* **9**, 1497 (1976); $Q = \sum_{k=1}^{(N-1)/2} c_{2k-1} \, c_{2k}^\dagger \, c_{2k+1} \, N$
- Sannomiya-Katsura-Nakayama, $Q = \sum_j c_j \, c_{j+1} \, c_{j+2}$ PRD **94**, 045014 (2016); PRD **95**, 065001 (2016) j G.S. degeneracy grows exponentially with system size.
- Schoutens et al., in preparation(?), counting the number of g.s.



- Studied frustration-free Fredkin chains described by Dyck paths
- Rigorous results on entanglement entropy, finite-size gap, etc.

Colorless (s=1) case





Studied super-frustration-free fermionic systems

What I did not touch on

- Determinant formula for q-Carlitz-Riordan, Grothendieck poly?
 Y. Ueno, J. Alg. 116, 261 (1988)
- Stochastic model corresponding to Fredkin chain
- Connection to Temperley-Lieb and Artin group?