

Frustration-free Models and beyond

Hosho Katsura
(University of Tokyo)



Institute for
Physics of
Intelligence



Trans-Scale
Quantum Science
Institute

Outline of my lectures

- Day 1 (June 19)
 - Introduction to frustration-free systems
 - Systematic construction of models
- Day 2 (June 20)
 - Non-interacting Kitaev chain
 - Interacting Kitaev chain
- Day 3 (June 21)
 - Divergence-free conditions
 - Application to quantum many-body scars

Ground-state
Physics

Dynamics

Frustration-free systems (recap)

■ Universal form

$$H = \sum_j h_j, \quad h_j = L_j^\dagger L_j$$

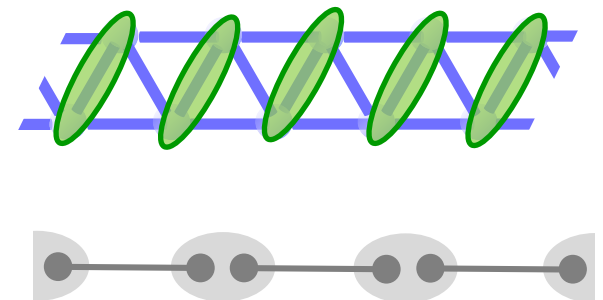
- Positive semi-definite
- Zero-energy ground state $|\psi\rangle$ s.t. $L_j|\psi\rangle = 0 \quad \forall j$
- ψ saturates Anderson's bound, i.e.,

$$h_j|\psi\rangle = 0 \text{ for all } j$$

Can we generalize this idea to excited states?

■ Examples

- Ferromagnetic Heisenberg model
- Majumdar-Ghosh model
- AKLT model
- Kitaev's toric code



Local divergence condition (1)

■ Baxter's telescoping trick

Ann. Phys. **76**, 1 (1973)

- XYZ chain Hamiltonian

$$H = \sum_{j=1}^L h_j, \quad h_j = J_x \sigma_j^x \sigma_{j+1}^x + J_y \sigma_j^y \sigma_{j+1}^y + J_z \sigma_j^z \sigma_{j+1}^z$$

- Product eigenstate $\phi_j \in \mathbb{C}^2$

$$\psi = \phi_1 \otimes \cdots \otimes \phi_{j-1} \otimes \underline{\phi_j \otimes \phi_{j+1}} \otimes \phi_{j+2} \otimes \cdots \otimes \phi_L$$

- Not annihilated by h_j

- Local divergence condition

$$\begin{aligned} h_j \psi &= \phi_1 \otimes \cdots \otimes \phi_{j-1} \otimes \underline{s_j \otimes \phi_{j+1}} \otimes \phi_{j+2} \otimes \cdots \otimes \phi_L \\ &\quad - \phi_1 \otimes \cdots \otimes \phi_{j-1} \otimes \underline{\phi_j \otimes s_{j+1}} \otimes \phi_{j+2} \otimes \cdots \otimes \phi_L \end{aligned}$$

- ψ is a zero-energy state of H
- But not a ground state

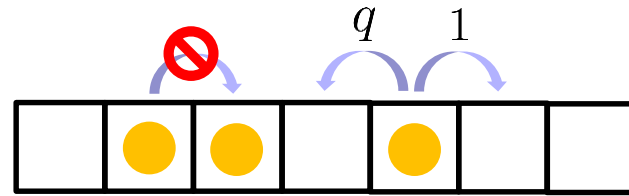
Limited to product states?

Local divergence condition (2)

■ Asymmetric simple exclusion process (ASEP)

- Master eq.

$$\frac{d}{dt} |P\rangle\rangle = -M|P\rangle\rangle$$



- Probability vector $|P\rangle\rangle = \sum_{\sigma} P(\sigma_1, \dots, \sigma_L) |\sigma_1, \dots, \sigma_L\rangle$, $\sigma_j = 0$ or 1
- Transition-rate matrix

$$M = \sum_j m_j, \quad m_j = \begin{pmatrix} 0 & & & & & & \\ & q & -1 & & & & \\ & -q & 1 & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & 0 \end{pmatrix}_{j,j+1}$$

Derrida et al.,
JPA **26** 1493 (1993)

■ Matrix-product steady state

$$|P\rangle\rangle = \frac{1}{Z} \langle W | \begin{pmatrix} E \\ D \end{pmatrix} \otimes \dots \otimes \begin{pmatrix} E \\ D \end{pmatrix} |V\rangle, \quad DE - qED = (1 - q)(D + E)$$

- Local divergence condition

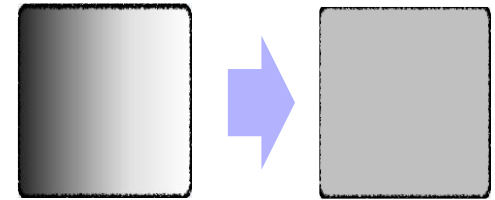
$$\frac{m}{1 - q} \begin{pmatrix} E \\ D \end{pmatrix} \otimes \begin{pmatrix} E \\ D \end{pmatrix} = \begin{pmatrix} -1 \\ 1 \end{pmatrix} \otimes \begin{pmatrix} E \\ D \end{pmatrix} - \begin{pmatrix} E \\ D \end{pmatrix} \otimes \begin{pmatrix} -1 \\ 1 \end{pmatrix} \Rightarrow M|P\rangle\rangle = 0$$

Outline of today's lecture

1. Introduction and motivation
 - Eigenstate thermalization hypothesis (ETH)
 - Violation of ETH
 - Rydberg atom arrays and PXP model
 - Quantum many-body scars (QMBS)
2. Onsager scars
3. Other examples
4. Summary

Foundation of equilibrium stat-mech

An isolated macro classical/quantum system relaxes towards a steady state at late times.



But why?

Fundamental problem since von Neumann's work (1929)

- Typicality

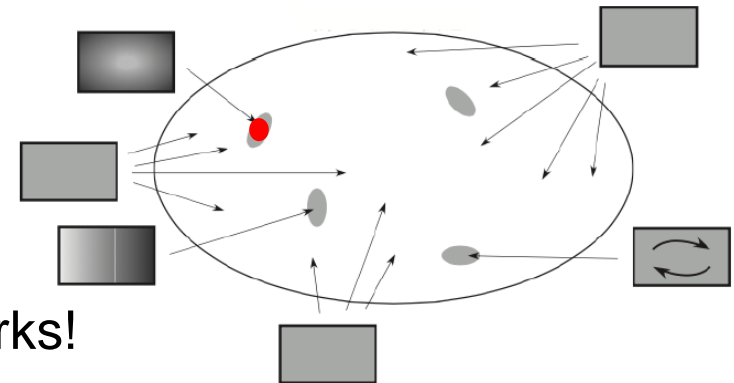
A great majority of states with the same energy are indistinguishable by macroscopic observables!

H. Tasaki, J. Stat. Phys. **163** (2016) and his book

“thermal equilibrium”

= common properties shared by the majority of states

→ Microcanonical (MC) ensemble works!



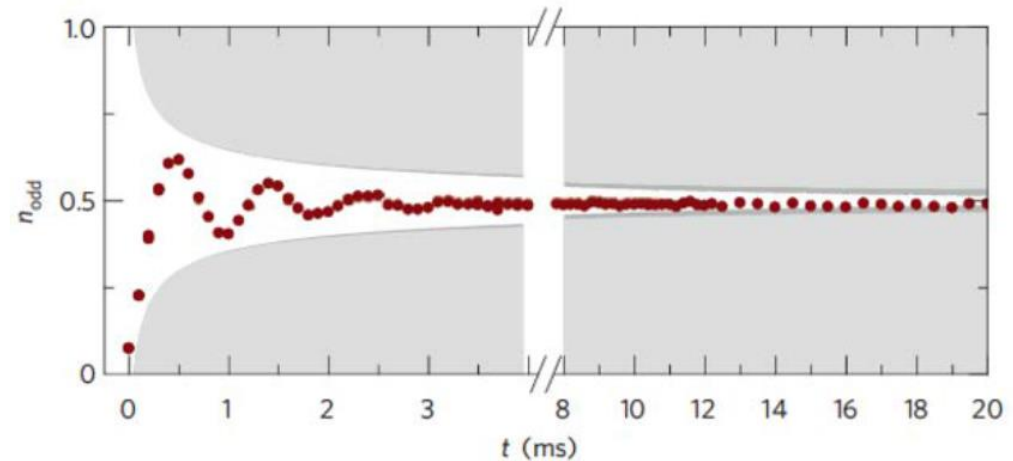
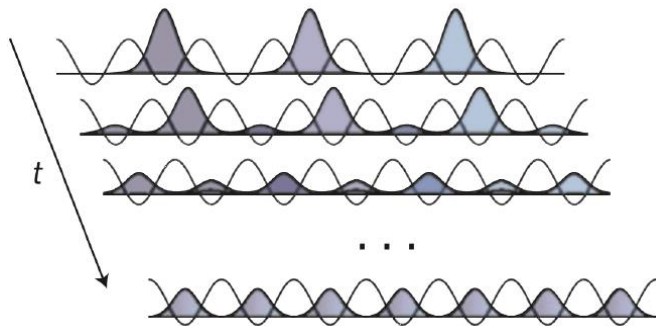
- Thermalization

The approach to these typical states

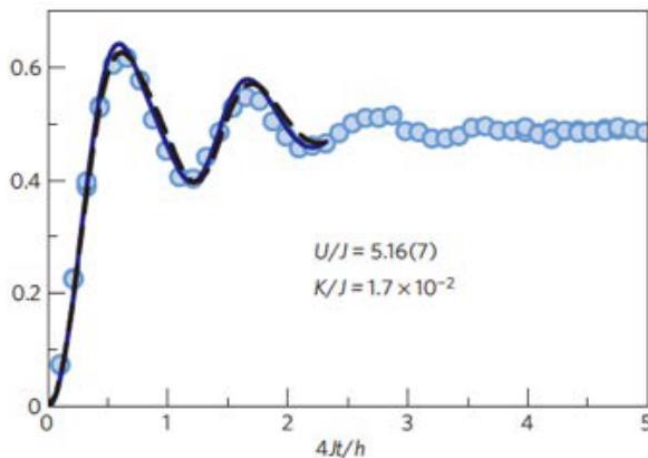
Experimental verification

S. Trotzky *et al.*, Nat. Phys. **8** (2012)

1d Bose-Hubbard, ^{87}Rb

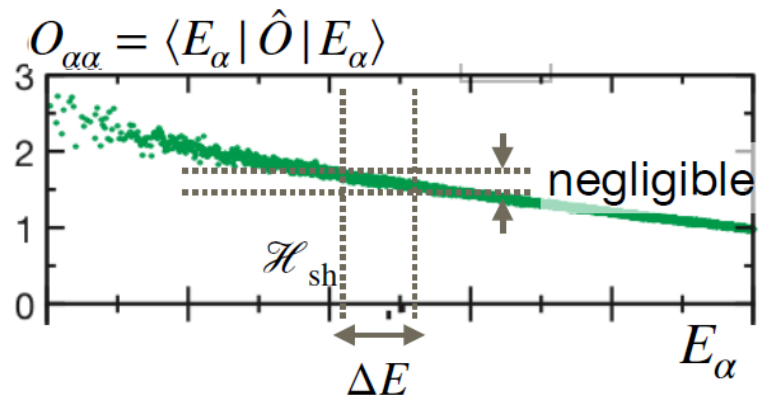


Comparison with t-DMRG result



Numerical verification

M. Rigol *et al.*, Nature **452** (2008)



Eigenstate thermalization hypothesis (ETH)

- Setup

H : Hamiltonian; $|E_n\rangle$: (normalized) energy eigenstate,

O : macroscopic observable, ρ_{mc} : MC ensemble,

Energy shell: $\text{span}\{|E_n\rangle : H|E_n\rangle = E_n|E_n\rangle, E_n \in [E - \Delta E, E]\}$

- Thermal states

A state $|E_n\rangle$ is said to be **thermal** if $\langle E_n|O|E_n\rangle \simeq \text{Tr}[\rho_{\text{mc}}O]$.

- Strong ETH: **All** $|E_n\rangle$ in the energy shell are thermal.

Believed to be true for a large class of non-integrable systems

Concept: von Neumann, Deutsch, Srednicki, Tasaki, ...

Numerical evidence: D'Alessio et al., Adv. Phys. **65** (2016).

- Weak ETH: **Almost all** $|E_n\rangle$ in the energy shell are thermal.

Proved under certain conditions

Biroli, Kollath, Lauchli, PRL **105** (2010);

Iyoda, Kaneko, Sagawa, PRL **119** (2017)

Exceptions of strong ETH

1. Integrable systems

Many conserved charges

Strong ETH 😞, Weak ETH 😊

Ex.) S=1/2 Heisenberg chain

$$H_{\text{Hei}} = \sum_{j=1}^L \mathbf{S}_j \cdot \mathbf{S}_{j+1}$$

2. Many-body localized (MBL) systems

Emergent local integrals of motion

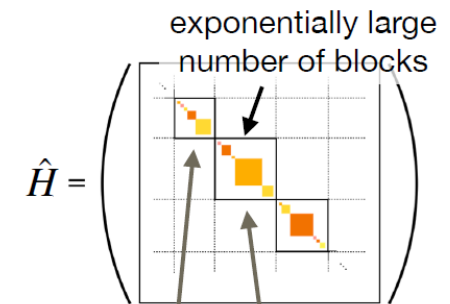
Strong ETH 😞, Weak ETH 😞

$$H_{\text{MBL}} = H_{\text{Hei}} + \sum_{j=1}^L h_j S_j^z$$

3. Hilbert-space fragmentation

Hilbert space splits into exp. many sectors

Strong ETH 😞, Weak ETH 😊 & 😞



$$(\hat{n}_1, \hat{n}_2, \dots) = n \quad (\hat{n}_1, \hat{n}_2, \dots) = n'$$

[From Hamazaki's slides]

4. Quantum many-body scarred systems

Strong ETH 😞, Weak ETH 😊

Non-integrable but have *scarred* states which do not thermalize for an anomalously long time!

What are scars?

- A very nice blog article

“Quantum Machine Appears to Defy Universe’s Push for Disorder”,
 Marcus Woo, Quanta magazine, March 2019



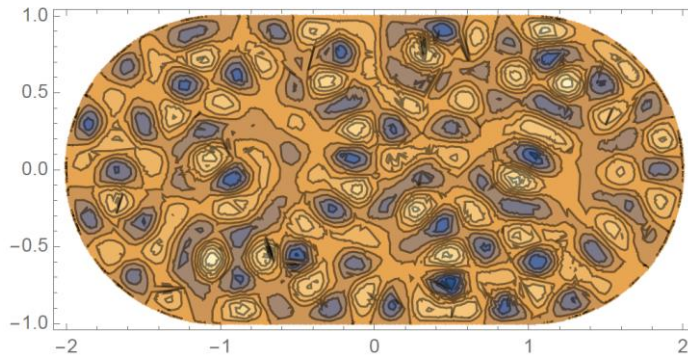
Recommendation:
 15-puzzle and Nagaoka ferromagnetism
 Quanta magazine, January 2019.

- One-body scars

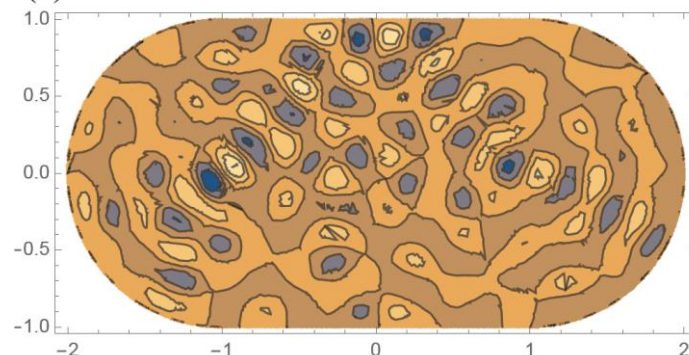
1-particle wave function in a Bunimovich stadium

E. Heller, PRL **53** (1984)

(a) $n = 199$



(b) $n = 200$ (From Shibata’s thesis)



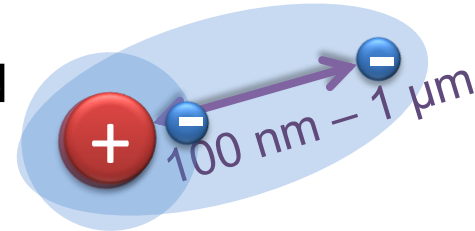
Experiment on Rydberg atom arrays

Bernien *et al.*, Nature **551** (2017)

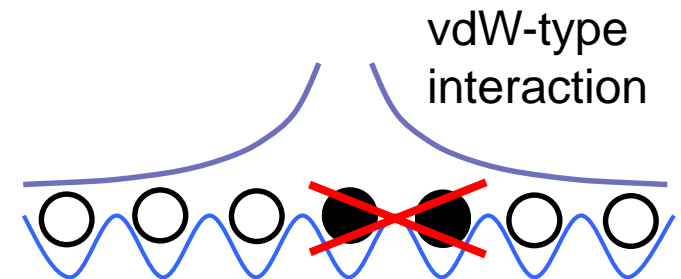
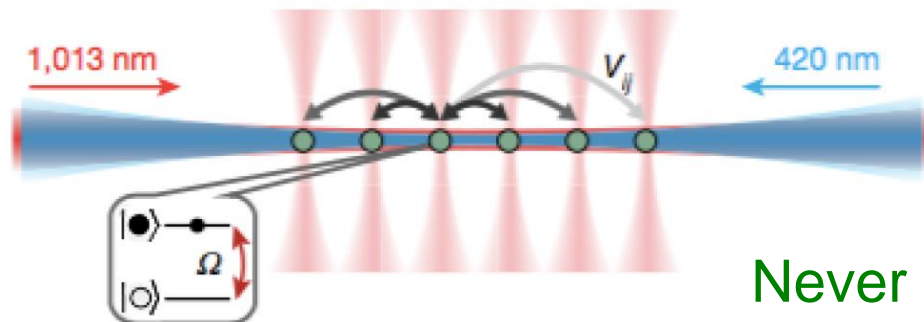
- Rydberg atoms

Atoms in which one of the electrons is in an excited state with a very high principal quantum number.

^{87}Rb : el. in $5s \rightarrow 70s$



- Rydberg blockade

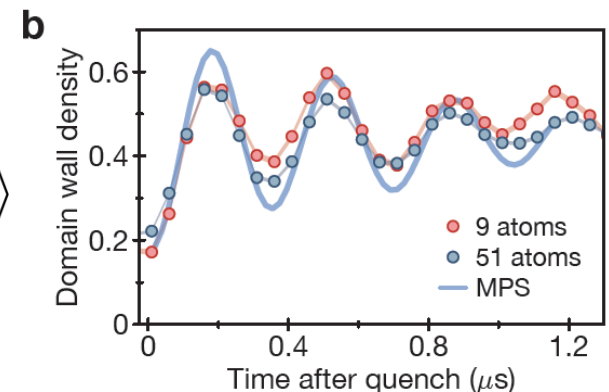


Never have adjacent excited states

- A surprising finding!
Special initial states

$$|Z_2\rangle = |\bullet \circ \bullet \circ \dots\rangle, \quad |Z'_2\rangle = |\circ \bullet \circ \bullet \dots\rangle$$

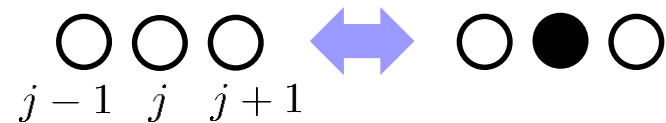
Exhibit robust oscillations. Other initial states thermalize much more rapidly.



PXP model (1)

- Hamiltonian Turner *et al.*, Nat. Phys. **14**, 745 (2018)

$$H_{\text{PXP}} = \sum_j P_{j-1} X_j P_{j+1},$$



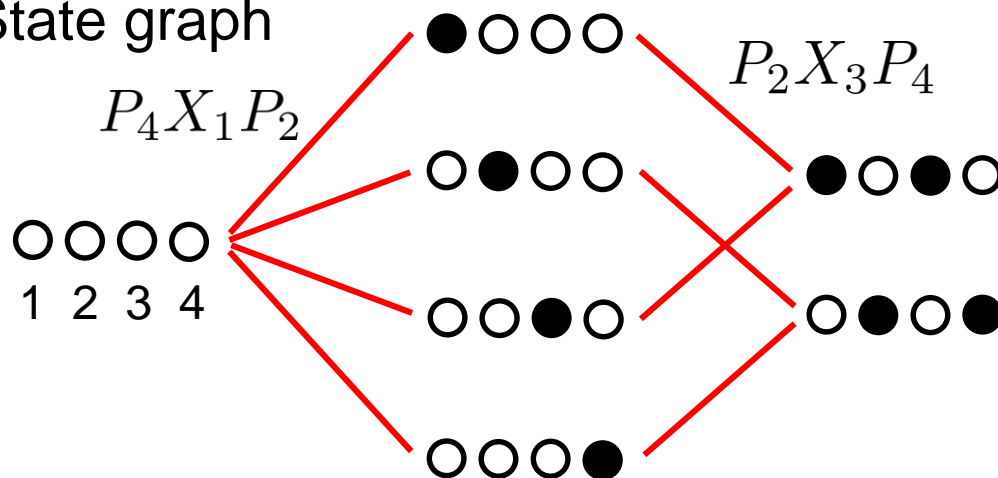
$$P = |\circ\rangle\langle\circ|, \quad X = |\circ\rangle\langle\bullet| + |\bullet\rangle\langle\circ|$$

➤ Lesanovsky & Katsura, *PRB* **86** (2012)

- Example: 4-site with PBC

Dimension of Hilbert space: $F_3 + F_5 = 7$

State graph



Hamiltonian

$$\begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 \end{pmatrix}$$

PXP model (2)

- Properties

1. Level statistics

→ Wigner-Dyson, non-integrable

2. Long-time oscillations are observed

3. Energy (E) v.s. entanglement

entropy (S) → Anomalously low S at high E

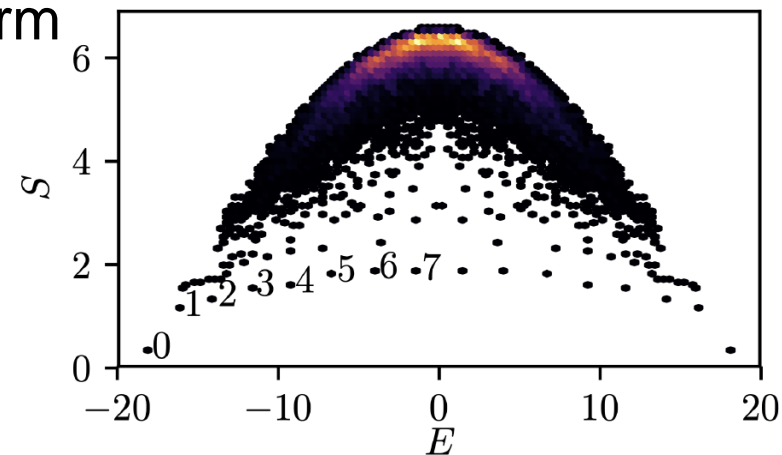
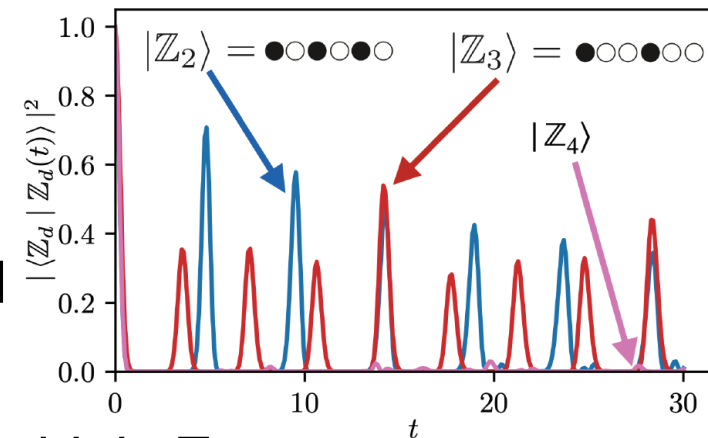
- Exact QMBS

Lin and Motrunich, PRL **122**, 173401 (2019).

Exact eigenstates of H_{PXP} in the form of matrix product states (MPS)

→ Low entanglement states at high energy

Revivals of fidelity



Exact QMBS

- Embedding method
Shiraishi & Mori, PRL **119** (2017)
- AKLT models
Moudgalya, Regnault & Bernevig, PRB **98** (2018)
Mark, Lin & Motrunich, PRB **101** (2020)
- Ising and XY-like models
Iadecola & Schechter, PRB **101** (2020)
Chattopadhyay, Pichler, Lukin, Ho & PRB **101** (2020)
- Floquet scars
Driven PXP: Sugiura, Kuwahara, Saito, PRR **3** (2021)
Mizuta, Takasan & Kawakami, PRR **2** (2020)
- Recent reviews
Serbyn, Abanin & Papic, Nat. Phys. **17** (2021)
Moudgalya, Bernevig & Regnault, Rep. Prog. Phys. (2022)
Chandran, Iadecola, Khemani & Moessner, ARCMP **14** (2023)

(Generalized) Shiraishi-Mori

■ Sandwiching method

- Frustration-free Hamiltonian

$$H = \sum_j L_j^\dagger L_j$$

- Zero-energy ground state $|\psi\rangle$ s.t. $L_j|\psi\rangle = 0, \forall j$

- New Hamiltonian

$$H_{\text{new}} = \sum_j L_j^\dagger C_j L_j \quad (C_j : \text{Hermitian})$$

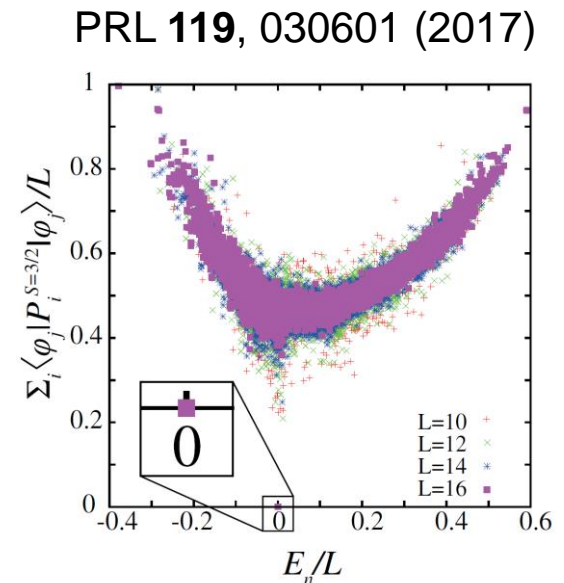
- ψ is a zero-energy state for arbitrary C_j
- But it may not be a ground state of H_{new}

■ Shiraishi-Mori embedding

- particular case where

$$L_j = L_j^\dagger = P_j \text{ (projection).}$$

- Example: embedding the g.s. of Majumdar-Ghosh model



Spin-1 XY chain

■ Hamiltonian

M. Schechter and T. Iadecola, PRL **123**, 147201 (2019)

$$H_{\text{XY}} = J \sum_{j=1}^L (S_j^x S_{j+1}^x + S_j^y S_{j+1}^y) + D \sum_{j=1}^L (S_j^z)^2$$

S_j^α ($\alpha = x, y, z$)
Spin-1 operator
at site j

■ su(2) algebra

$$J^\pm = \frac{1}{2} \sum_{j=1}^L (-1)^j (S_j^\pm)^2, \quad J^z = \frac{1}{2} \sum_{j=1}^L S_j^z$$

$$S_j^\pm = S_j^x \pm iS_j^y$$

- $[J^+, J^-] = 2J^z, \quad [J^z, J^\pm] = \pm J^\pm$
- They do not commute with H_{XY}
- Nevertheless...

■ Tower of eigenstates

$$|\Psi_k\rangle = (J^+)^k |-, -, \dots, -\rangle \quad (k = 0, 1, 2, \dots, L)$$

with eigenenergy $E = DL$

← Does not
contain 0 states!

Today's subject

- Quantum many-body scars (QMBS) (recap)
 - ✓ Non-thermal eigenstates of non-integrable Hamiltonians
 - ✓ Finite-energy density
 - ✓ Entanglement entropy does not obey a volume law

- Constructing models with exact QMBS
 - ✓ Using **Onsager algebra** 2d Ising model:
Phys. Rev. 65 (1944)
 - ✓ Using **integrable boundary states**
 - ✓ Using (restricted) **spectrum generating algebra**
 - ✓ ...

Outline of today's lecture

1. Introduction and motivation
2. Onsager scars
 - Strategy
 - Perturbed $S=1/2$ XY chain
 - Properties
 - Higher-spin models
 - N. Shibata, N. Yoshioka, HK,
PRL **124**, 180604 (2020)
3. Other examples
4. Summary

Strategy

- Starting point:
Integrable model with conserved charges Q_1, Q_2, \dots
They commute with the Hamiltonian H_{int}
- Take a subalgebra $\{Q_1, Q_2, \dots\}$
- Find a reference eigenstate $H_{\text{int}}|\psi_0\rangle = E_0|\psi_0\rangle$
 ψ_0 : simple state, e.g., product state or MPS
- Find a tower of eigenstates generated by acting with the subalgebra on the reference state:

$$(Q_1)^m (Q_2)^n \cdots |\psi_0\rangle \quad \leftarrow \text{QMBS in non-integrable } H$$

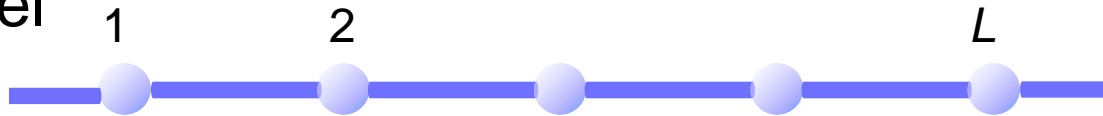
They have the same energy as ψ_0

- Add perturbations that break the integrability of H_{int} but do not hurt the tower of states

$$H = H_{\text{int}} + H_{\text{pert}}, \quad \text{e.g., } H_{\text{pert}} (Q_1)^m (Q_2)^n \cdots |\psi_0\rangle = 0$$

Example: $S=1/2$ XY chain

■ Model



L : even
Periodic chain

- Hamiltonian

$$H_{\text{int}} = \sum_{j=1}^L (S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+) \quad S_j^\pm := \frac{S_j^x \pm iS_j^y}{2}$$

Can be mapped to free fermions via Jordan-Wigner
Lieb-Schultz-Mattis (1961), Katsura (1962)

- Conserved charges

Total S^z : $Q = \sum_{j=1}^L S_j^z$

$$[H_{\text{int}}, Q^\pm] = 0$$

“bi-magnon” operator: $Q^\pm = \sum_{j=1}^L (-1)^{j+1} S_j^\pm S_{j+1}^\pm$

An element of **Onsager's algebra!** Infinitely many such.

- Reference eigenstate

All down state: $|\Downarrow\rangle = |\downarrow\rangle \otimes |\downarrow\rangle \otimes \cdots \otimes |\downarrow\rangle, \quad H_{\text{int}} |\Downarrow\rangle = 0$

Magnon eigenstates

■ "Motion" of flipped spin

$$\downarrow_1 \quad \downarrow_2 \quad \downarrow \quad \downarrow_j \quad \downarrow \quad \downarrow_N \quad \longleftrightarrow \quad |j\rangle = S_j^+ |\downarrow\rangle$$

not an eigenstate of H_{int}

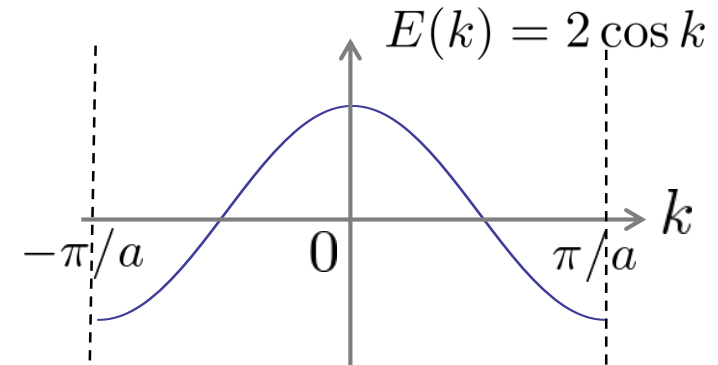
$$(S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+) |j\rangle = |j+1\rangle$$

Flipped spin hops to the neighboring sites

■ Bloch state

$$|\psi_k\rangle = \frac{1}{\sqrt{N}} \sum_j e^{ikj} |j\rangle$$

is an exact eigenstate of H_{int}



■ Bi-magnon state with momentum π

$$\downarrow \quad \downarrow \quad \downarrow \quad \uparrow \quad \uparrow \quad \downarrow \quad |j, j+1\rangle = S_j^+ S_{j+1}^+ |\downarrow\rangle$$

$$Q^+ |\downarrow\rangle = \sum_{j=1}^L (-1)^{j+1} |j, j+1\rangle \quad \text{is an exact zero-energy state}$$

Local divergence condition

- Tower of exact eigenstates of H_{int}

$$|\Downarrow\rangle, Q^+|\Downarrow\rangle, \dots, (Q^+)^k|\Downarrow\rangle, \dots, (Q^+)^{L/2}|\Downarrow\rangle \quad ((Q^+)^{L/2+1} = 0)$$

- “Coherent state”

$$|\psi(\beta)\rangle = \exp(\beta^2 Q^+)|\Downarrow\rangle = \sum_{k=0}^{L/2} \frac{\beta^{2k}}{k!} (Q^+)^k |\Downarrow\rangle$$

- Can be written as an MPS

$$|\psi(\beta)\rangle = \text{Tr} [M_1 \cdots M_j M_{j+1} \cdots M_L], \quad M_j = \begin{pmatrix} |\Downarrow\rangle_j & (-1)^{j+1} \beta |\Uparrow\rangle_j \\ \beta |\Uparrow\rangle_j & 0 \end{pmatrix}$$

- ex.) Prove this

- Telescoping trick

- Local Hamiltonian $h_j = S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+$

$$h_j M_j M_{j+1} = L_j M_{j+1} - M_j L_{j+1}, \quad L_j = \begin{pmatrix} 0 & 0 \\ 0 & |\Downarrow\rangle_j \end{pmatrix}$$

- ex.) Prove this

- We get $H_{\text{int}}|\psi(\beta)\rangle = 0$. $[H_{\text{int}}, Q^+] = 0$ isn't so important(?)

Designed perturbations

- Find H_{pert} such that
 - $H_{\text{pert}}|\psi(\beta)\rangle = 0$ (annihilates the coherent state)
 - Breaks the integrability of H_{int}

Local structure of MPS

$$|\psi(\beta)\rangle = \text{Tr} \left[\begin{pmatrix} |\downarrow\rangle_1 & \beta|\uparrow\rangle_1 \\ \beta|\uparrow\rangle_1 & 0 \end{pmatrix} \begin{pmatrix} |\downarrow\rangle_2 & -\beta|\uparrow\rangle_2 \\ \beta|\uparrow\rangle_2 & 0 \end{pmatrix} \begin{pmatrix} |\downarrow\rangle_3 & -\beta|\uparrow\rangle_3 \\ \beta|\uparrow\rangle_3 & 0 \end{pmatrix} \dots \right]$$



$$\begin{pmatrix} |\downarrow\downarrow\downarrow\rangle - \beta^2(|\downarrow\uparrow\uparrow\rangle - |\uparrow\uparrow\downarrow\rangle) & \beta|\downarrow\downarrow\uparrow\rangle + \beta^3|\uparrow\uparrow\uparrow\rangle \\ \beta|\uparrow\downarrow\downarrow\rangle - \beta^3|\uparrow\uparrow\uparrow\rangle & \beta^2|\uparrow\downarrow\uparrow\rangle \end{pmatrix}_{1,2,3}$$

- $|\downarrow\uparrow\downarrow\rangle$ and $(|\downarrow\uparrow\uparrow\rangle + |\uparrow\uparrow\downarrow\rangle)/\sqrt{2}$ never appear

Possible perturbations

$$H_{\text{pert}} = \sum_j c_j^{(1)} (|\downarrow\uparrow\downarrow\rangle\langle\downarrow\uparrow\downarrow| + \frac{c_j^{(2)}}{2} (|\downarrow\uparrow\uparrow\rangle + |\uparrow\uparrow\downarrow\rangle)(\langle\downarrow\uparrow\uparrow| + \langle\uparrow\uparrow\downarrow|)$$

$$+ c_j^{(3)} [|\downarrow\uparrow\downarrow\rangle(\langle\downarrow\uparrow\uparrow| + \langle\uparrow\uparrow\downarrow|) + \text{H.c.}]_{j-1,j,j+1}$$

c's can be made random!

Is the perturbed model non-integrable?

■ Level spacing statistics

• Perturbed Hamiltonian $H = H_{\text{int}} + H_{\text{pert}} + hQ,$

• Energy levels $E_1 \leq E_2 \leq E_3 \leq \dots$ $\Delta E_i = E_{i+1} - E_i$

• Level spacing $s_i := \frac{\Delta E_i}{\langle \Delta E_i \rangle}$ $\langle \Delta E_i \rangle$: average

• H is **integrable**

$$P(s) = \exp(-s)$$

Casati *et al*, PRL **54** (1985),
Pal, Huse, PRB **82** (2010)

→ Poisson distribution

• H is **non-integrable (GOE)**

$$P(s) = \frac{\pi}{2} s \exp\left(-\frac{\pi s^2}{4}\right)$$

→ Wigner-Dyson distribution

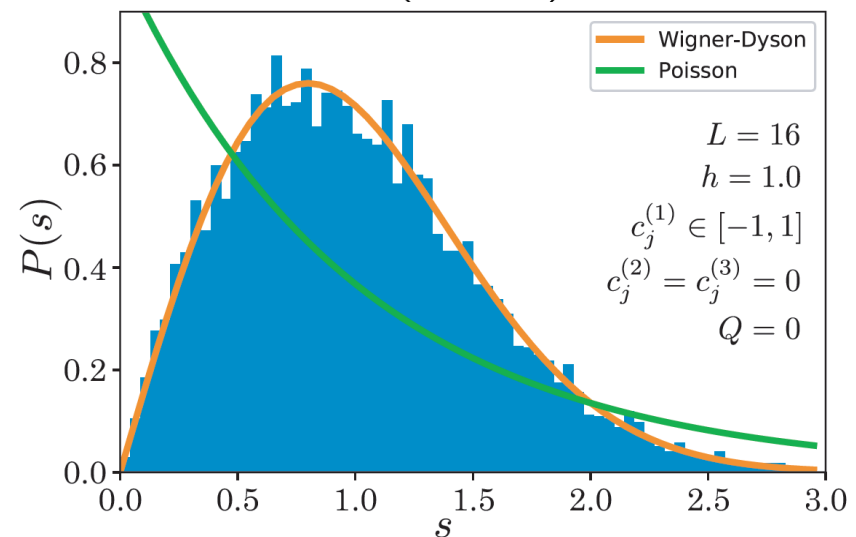
■ Numerical result

• System size: $L=16$

• Only diagonal perturbations

• Zero-magnetization sector

H is non-integrable!



Entanglement diagnosis

■ Half-chain entanglement

- Reduced density matrix

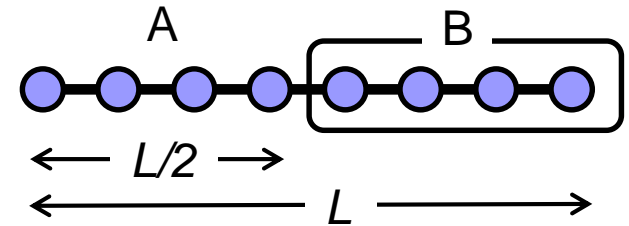
$$\rho = |\psi\rangle\langle\psi|, \quad \rho_A = \text{Tr}_B[\rho]$$

- Entanglement entropy (EE) $\mathcal{S}_A = -\text{Tr}_A[\rho_A \ln \rho_A]$

- Thermodynamic entropy \sim EE Mori *et al.*, J. Phys. B **51** (2018)

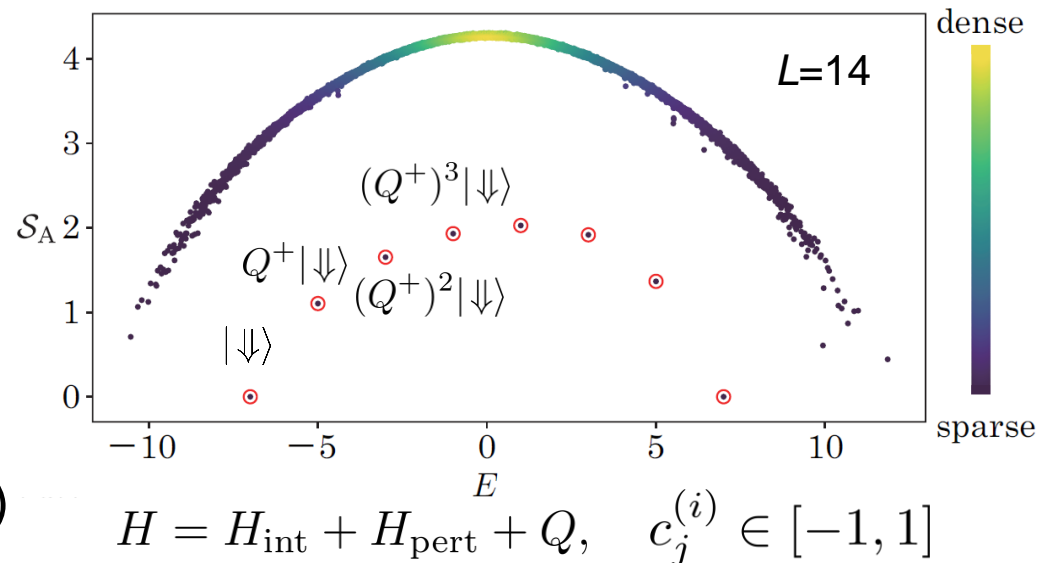
➤ Volume law $\mathcal{S}_A \propto L \rightarrow$ Thermal

➤ Sub-volume law (e.g., area law $\mathcal{S}_A \leq \text{const.}$) \rightarrow non-thermal



■ Results

- QMBS states $(Q^+)^k |\Downarrow\rangle$
- Their EE obey sub-volume law
- Rigorous bound
 - EE of QMBS $\leq O(\ln L)$



Dynamics

- Hamiltonian $H = H_{\text{int}} + H_{\text{pert}} + hQ,$

- Initial state = coherent state $|\psi(\beta)\rangle = \exp(\beta^2 Q^+) |\Downarrow\rangle$

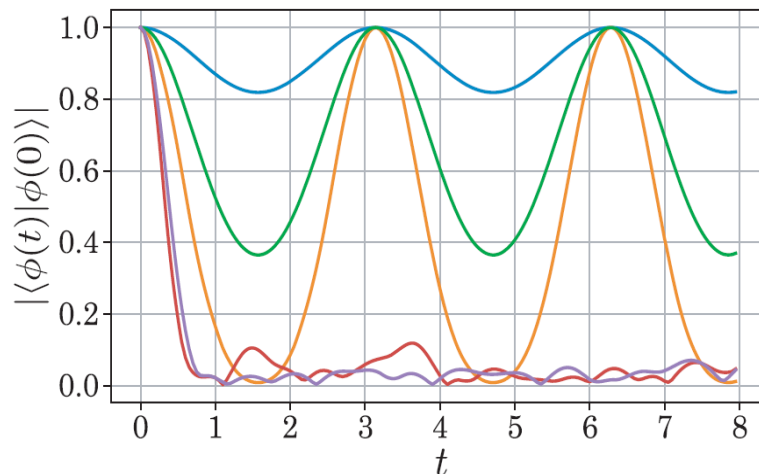
- Time evolution

$$|\psi_t(\beta)\rangle = \exp(-iHt)|\psi(\beta)\rangle \propto |\psi(\beta e^{-iht})\rangle \quad \text{Revival at } t = t_k = \frac{\pi k}{h}, \quad k \in \mathbb{N}$$

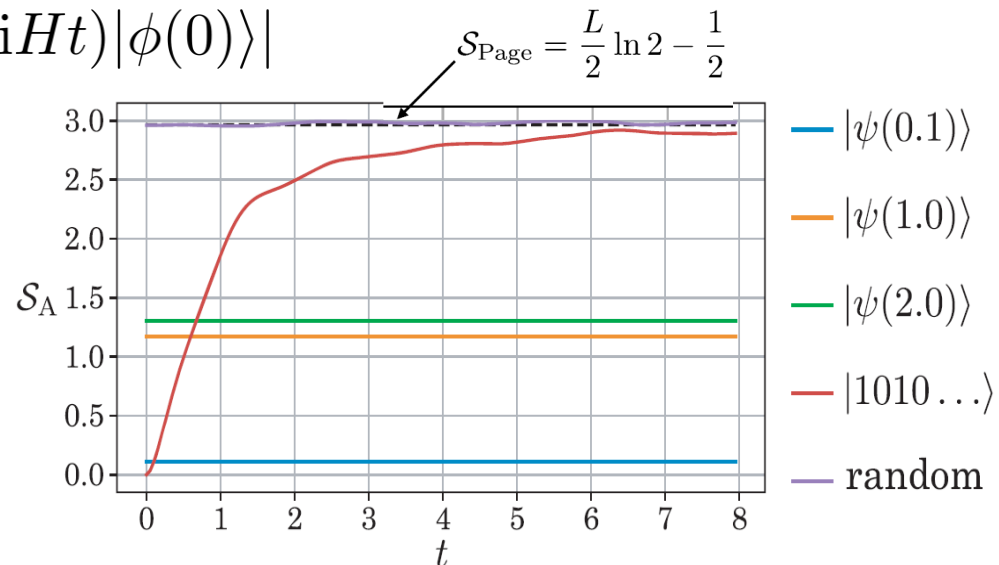
- Numerical results $L = 10, h = 1.0, c_j^{(i)} \in [-1, 1]$ (random)

- Fidelity

$$|\langle \phi(t) | \phi(0) \rangle| = |\langle \phi(0) | \exp(iHt) | \phi(0) \rangle|$$



- Entanglement



Onsager algebra

- XY Hamiltonian

$$H_2 = i \sum_{j=1}^L (S_j^+ S_{j+1}^- - S_j^- S_{j+1}^+) \quad \text{Unitarily equivalent to } H_{\text{int}}$$

- Commuting operators

$$Q = \sum_{j=1}^L S_j^z, \quad \hat{Q} = 2 \sum_{j=1}^L S_j^x S_{j+1}^x$$

(Quantum) Ising!

$$H_{\text{QI}} = Q + \lambda \hat{Q}$$

Phys. Rev. 65 (1944)

Any polynomial in Q , \hat{Q} commutes with H_2

- Dolan-Grady relations

$$[Q, [Q, [Q, \hat{Q}]]] = 4[Q, \hat{Q}]$$

$$\hat{Q} = (Q_1^0 + Q_1^+ + Q_1^-)/2$$

$$[\hat{Q}, [\hat{Q}, [\hat{Q}, Q]]] = 4[\hat{Q}, Q]$$

$$Q_1^0 \propto H_{\text{int}}, \quad Q_1^\pm \propto \sum_{j=1}^L S_j^\pm S_{j+1}^\pm$$

- Higher-order generators

$$Q_m^+ \propto \sum_{j=1}^L S_j^+ S_{j+1}^z \cdots S_{j+m-1}^z S_{j+m}^+$$

➤ Commutes with H_2 . Allows for scarred model with longer-range int.

What about $S > 1/2$?

■ Self-dual U(1)-invariant clock model

Vernier, O'Brien & Fendley, J. Stat. Mech. (2019)

- Matrices $\omega = \exp(2\pi i/n)$

$$\tau = \begin{pmatrix} 1 & & & \\ & \omega & & \\ & & \ddots & \\ & & & \omega^{n-1} \end{pmatrix}, \quad S^+ = \begin{pmatrix} 0 & 1 & & \\ & \ddots & \ddots & \\ & & 0 & 1 \\ & & & 0 \end{pmatrix}, \quad S^- = (S^+)^{\dagger}$$

- Hamiltonian

Truly interacting for $n > 2!$

$$H_n = i \sum_{j=1}^L \sum_{a=0}^{n-1} \frac{1}{1 - \omega^{-a}} [(2a - n)\tau_j^a + n(S_j^+ S_{j+1}^-)^{n-a} - n(S_j^- S_{j+1}^+)^a]$$

H_2 boils down to (twisted) XY, $H_3 \rightarrow S=1$ Fateev-Zamolodchikov

- U(1) symmetry $[H_n, Q] = 0$, $Q = \sum_{j=1}^L S_j^z$

$$[H_n, Q^+] = 0$$

- Self-duality (in the $\sigma - \tau$ rep.)

- Onsager algebra! $Q^+ = \sum_{j=1}^L \sum_{a=1}^{n-1} \frac{1}{1 - \omega^{-a}} (S_j^+)^a (S_{j+1}^+)^{n-a}$

$S=1$ ($n=3$) model

- Integrable Hamiltonian

$$H_{\text{int}} = \sqrt{3} \sum_{j=1}^L \left[S_j^+ S_{j+1}^- + S_j^- S_{j+1}^+ - (S_j^+ S_{j+1}^-)^2 - (S_j^- S_{j+1}^+)^2 - (S_j^z)^2 + \frac{2}{3} \right]$$

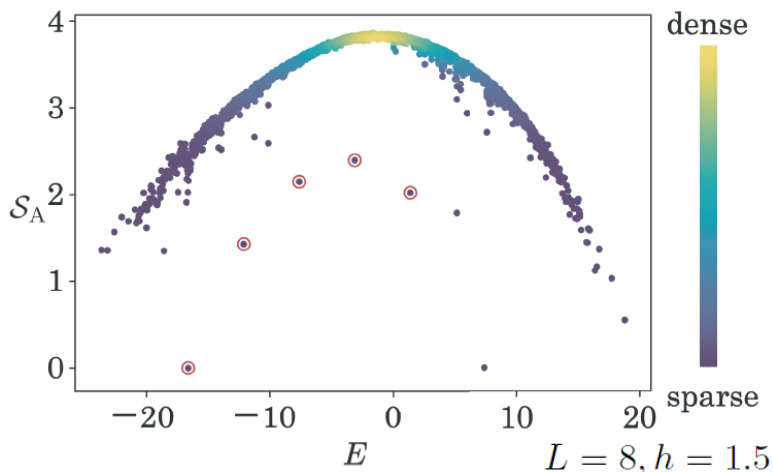
- Coherent state

$$Q^+ = \frac{2}{\sqrt{3}} \sum_{j=1}^L S_j^+ (S_j^+ - S_{j+1}^+) S_{j+1}^+, \quad |\psi(\beta)\rangle = \exp(\beta^2 Q^+) |-, -, \dots, -\rangle$$

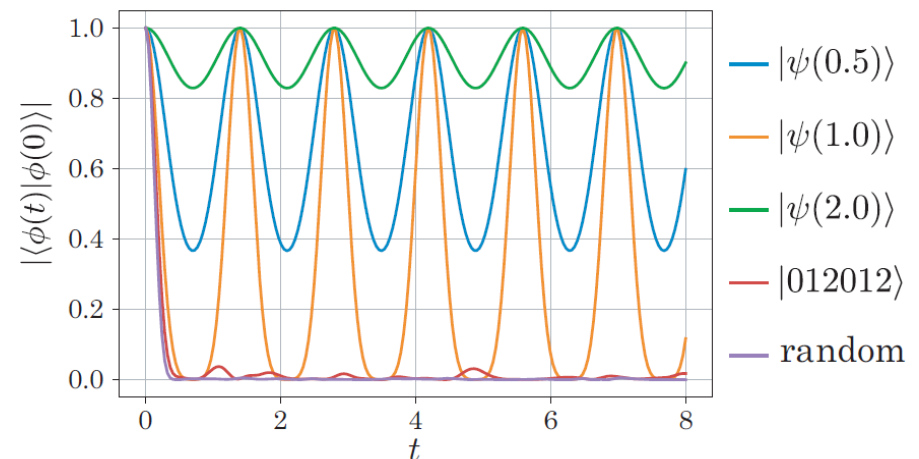
Matrix product state (MPS) with bond dimension 3. Desired perturbations can be identified from this MPS.

$$H = H_{\text{int}} + H_{\text{pert}} + hQ,$$

- Half-chain entanglement



- Fidelity



Outline of today's lecture

1. Introduction and motivation
2. Onsager scars
3. Other examples
 - Boundary scars and scalar chirality
 - Dzyaloshinskii-Moriya int. + Zeeman
 - K. Sanada, Y. Miao & HK,
PRB **108**, 155102 (2023)
 - M. Kunimi, T. Tomita, HK & Y. Kato,
arXiv:2306.05591
4. Summary

Integrable boundary states

- Integrable Hamiltonian: $H_{\text{int}} = \sum_j H_j$ (1d nearest neighbor int.)
- Boost operator: $B = \sum_j j H_j$
- Conserved charges: $Q_{n+1} = [B, Q_n], \quad Q_2 \propto H_{\text{int}}$

Q_{2k}/Q_{2k+1} is even / odd under parity \mathcal{I} :

$$\mathcal{I}|\sigma_1, \sigma_2, \dots, \sigma_{L-1}, \sigma_L\rangle = |\sigma_L, \sigma_{L-1}, \dots, \sigma_2, \sigma_1\rangle$$

➤ Example: $S=1/2$ Heisenberg chain

$$H_{\text{int}} = \sum_{j=1}^L \mathbf{S}_j \cdot \mathbf{S}_{j+1} \quad \rightarrow \quad Q_3 \propto C_{\text{SC}} = \sum_{j=1}^L \mathbf{S}_j \cdot (\mathbf{S}_{j+1} \times \mathbf{S}_{j+2})$$

Scalar chirality

- Integrable boundary states: Piroli, Pozsgay & Vernier, NPB **925** (2017)

$|\Psi_0\rangle$ such that $Q_{2k+1}|\Psi_0\rangle = 0$ for all $k = 1, 2, 3, \dots$

Lattice version of boundary states in integrable QFT:
Ghoshal & Zamolodchikov, IJMP **A9**, 3841 (1994)

Boundary scars

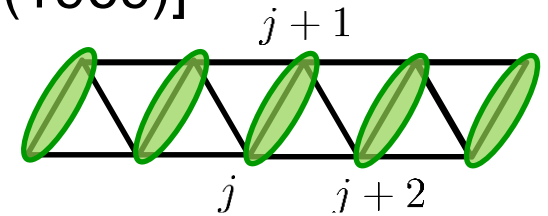
- If $|\Psi_0\rangle$ is an eigenstate of a **non-integrable** Hamiltonian H_0 ,

then it is an eigenstate of $H_0 + \sum_{k=1}^{\infty} t_k Q_{2k+1}$ ($t_k \in \mathbb{R}$)

- Example

- H_0 : Majumdar-Ghosh model [JMP **10** (1969)]

$$H_{\text{MG}} = \sum_{j=1}^L \left[(\mathbf{S}_j + \mathbf{S}_{j+1} + \mathbf{S}_{j+2})^2 - \frac{3}{4} \right]$$

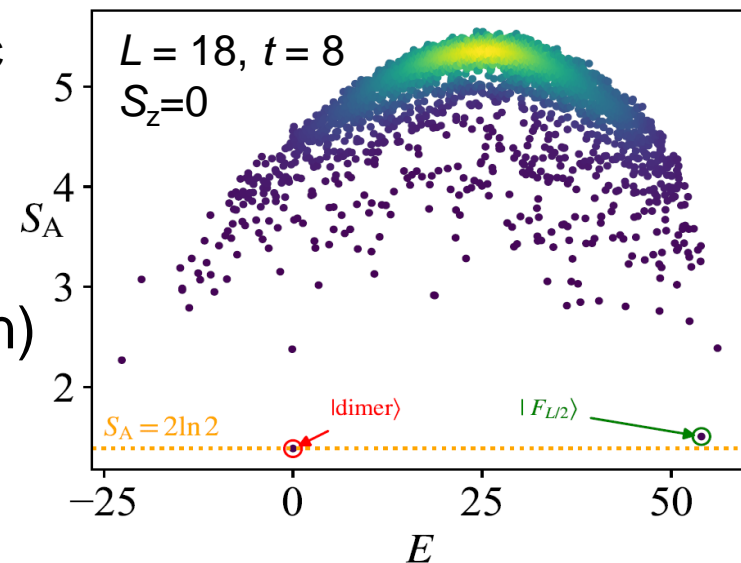


Dimer ground states are annihilated by C_{SC}

- Hamiltonian

$$H(t) = H_{\text{MG}} + tC_{\text{SC}}$$

- ✓ Non-integrable (Wigner-Dyson)
- ✓ Energy v.s. EE plot
- ✓ Dimer ground state is a scar!



DH model

■ Experimental setup

- 1d array of Rb atoms
- Effective spin states

$$|\downarrow\rangle \leftrightarrow |n_1 S_{1/2}\rangle, \quad |\uparrow\rangle \leftrightarrow |n_2 S_{1/2}\rangle$$

- Effective Hamiltonian

→ $S=1/2$ XXZ chain in a rotating magnetic field

$$-\Omega_{\text{eff}} [\cos(qj) S_j^x + \sin(qj) S_j^y] - \tilde{\Delta} S_j^z, \quad q = k_1 d \cos \theta$$

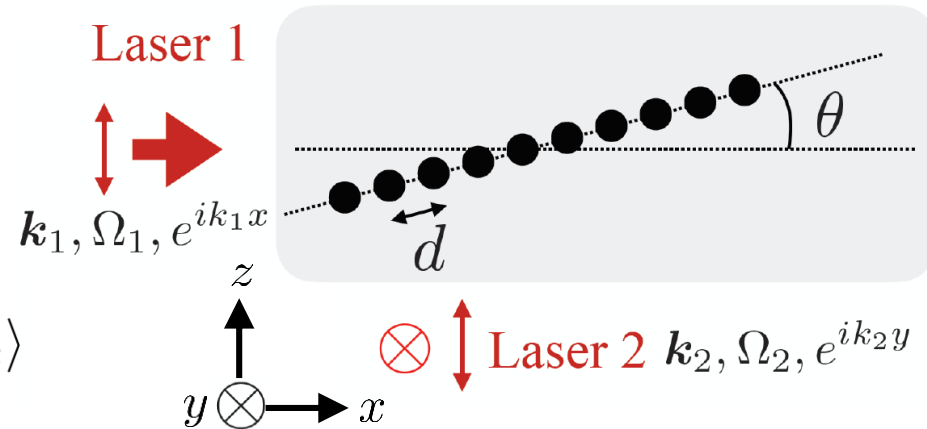
■ Hamiltonian in spin-rotating frame

~~$$H_{\text{eff}} = J \cos q \sum_j (S_j^z S_{j+1}^z + S_j^x S_{j+1}^x) + J \delta \sum_j S_j^y S_{j+1}^y - \tilde{\Delta} \sum_j S_j^y$$~~

$$- J \sin q \sum_j (S_j^z S_{j+1}^x - S_j^x S_{j+1}^z) - \Omega_{\text{eff}} \sum_j S_j^z$$

DH model

- Tuning q , δ , etc. → Model with only Dzyaloshinskii-Moriya int. and field in the z -direction [Kodama, Kato & Tanaka, PRB **107** (2023)]



QMBS states in DH model

- Hamiltonian $H_{\text{DH}} = D \sum_j (S_j^z S_{j+1}^x - S_j^x S_{j+1}^z) - H \sum_j S_j^z$ PBC or special OBC
- Raising operator $Q^\dagger = \sum_j P_{j-1} S_j^+ P_{j+1}$ Similar to Q^\dagger in Schechter & Iadecola, PRL **123** (2019).

- They satisfy a **restricted spectrum generating algebra (SGA)**

$$H_{\text{DH}} |\downarrow\rangle = E_0 |\downarrow\rangle \quad (|\downarrow\rangle = |\downarrow \cdots \downarrow\rangle)$$

See e.g., Moudgalya *et al.*, PRB **102**, 085140 (2020).

$$[H_{\text{DH}}, Q^\dagger] |\downarrow\rangle = -H Q^\dagger |\downarrow\rangle$$

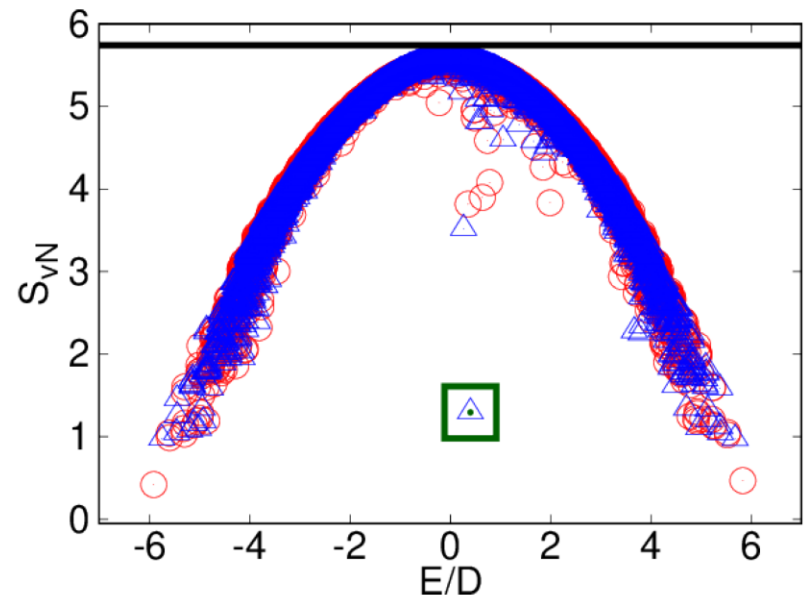
$$[[H_{\text{DH}}, Q^\dagger], Q^\dagger] = 0$$

- Exact eigenstates

$$|S_n\rangle = (Q^\dagger)^n |\downarrow\rangle$$

$$H_{\text{DH}} |S_n\rangle = (E_0 - nH) |S_n\rangle$$

- ✓ Non-integrable (Wigner-Dyson)
- ✓ Energy v.s. EE plot, fidelity
- ✓ They are scars!



OBC, $L=18$, $H=0.1D$, Soliton num. = 5

Summary

- Local divergence condition
 - Generalization of frustration-freeness
- Constructing models with QMBS
 - Using **Onsager algebra**
 - Perturbed $S=1/2$ XY chain, higher-spin models
 - Using **integrable boundary states**
 - Majumdar-Ghosh + scalar chirality
 - Using **restricted SGA**
 - Dzyaloshinskii-Moriya + Zeeman
- Other models
 - Correlated hopping model: Tamura & HK, *PRB* **106** (2022)
 - Generalization of eta-pairing: Yoshida & HK, *PRB* **105** (2022)
 - $S=1$ AKLT + $SU(3)$ scalar chirality
 - Perturbed $S=1$ scalar chirality in 1d and 2d