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# **Incompressible Surfaces in Graph Link Exterior**

**Toru IKEDA (Kochi University)**

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# Problems

▷ Problems

Preliminaries

2-sided case

1-sided case

Graph link case

$L \subset S^3$  : a non-splittable graph link

$F$  : an incompressible surface in  $E(L)$

# Problems

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$L \subset S^3$  : a non-splittable graph link

$F$  : an incompressible surface in  $E(L)$

$$(1) \partial F \neq \emptyset$$

$$(2) \partial F = \emptyset$$

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$F$  : an incompressible surface in  $E(L)$

(1)  $\partial F \neq \emptyset$

$L$  : a knot

$F$  : 1- or 2-sided

(2)  $\partial F = \emptyset$

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$F$  : 1- or 2-sided

What are the possible types of  $\partial F$ ?

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What are the possible types of  $\partial F$ ?

(2)  $\partial F = \emptyset$

$L$  : a knot or a link

$F$  : 2-sided,  $\chi(F) < 0$

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What are the possible types of  $L$ ?

What are the possible  $\chi(F)$ ?



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**Definition 1**

**Definition 2**

**2-sided case**

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**Graph link case**

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# Preliminaries

# Definition 1

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1-sided case

Graph link case

$L \subset S^3$  : a non-splittable link

$L$  : a graph link  $\Leftrightarrow$

$E(L)$  is splitted into Seifert manifold pieces

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i.e. torus knot space, cable space  
or composing space

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### Graph link case

$L \subset S^3$  : a non-splittable link

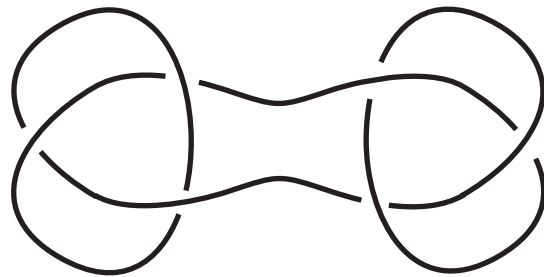
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## Examples

(1) the granny knot



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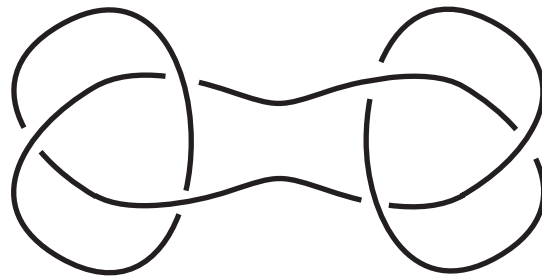
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(1) the granny knot

(2) iterated torus knots



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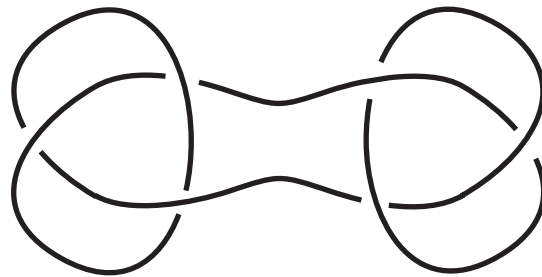
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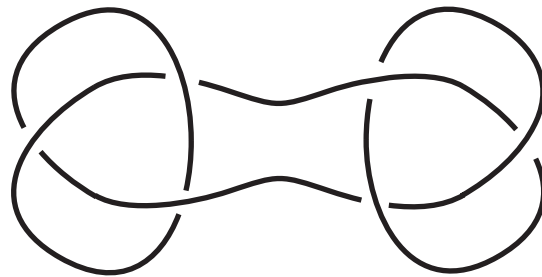
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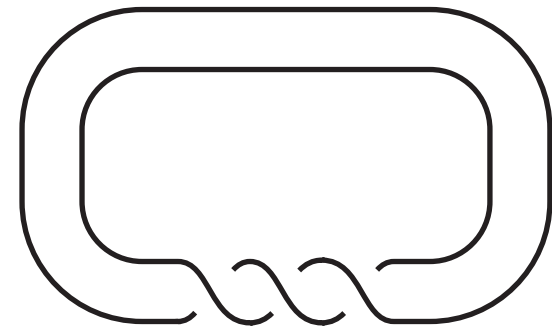
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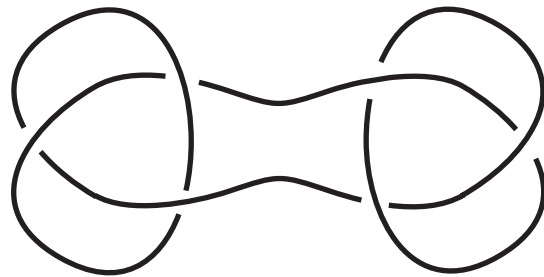
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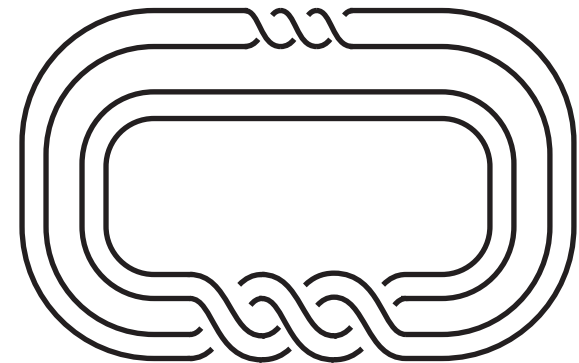
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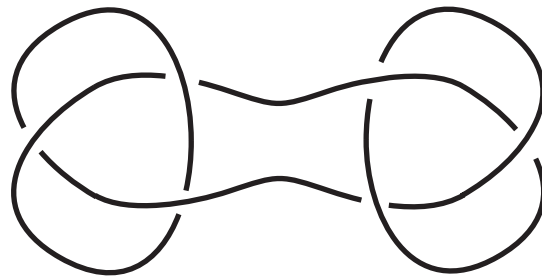
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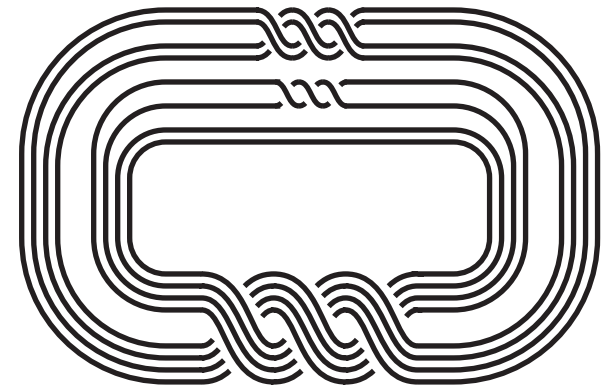
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## Definition 2

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### Graph link case

(1)  $P$  : a Seifert manifold piece in  $E(L)$

$$T \subset \partial P$$

$V$  : a solid torus s.t.  $\partial V = T$

$T$  : an **outer torus** of  $P$   $\Leftrightarrow P \subset V$   
an **inner torus**  $\Leftrightarrow$  otherwise

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an **inner torus**  $\Leftrightarrow$  otherwise

(2)  $F \subset E(L)$  : a bounded proper surface

$F$  : **meridional**  $\Leftrightarrow$   $\partial$ -loops : type  $(0, n)$   
**longitudinal**  $\Leftrightarrow$  type  $(n, nk)$   
**preferred longitudinal**  $\Leftrightarrow$  type  $(n, 0)$

## Definition 2

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**preferred longitudinal**  $\Leftrightarrow$  type  $(n, 0)$

(3)  $M$  : a Seifert manifold

$F \subset M$  : a proper surface

$F$  : **vertical**  $\Leftrightarrow F = \bigcup$  fibers  
**horizontal**  $\Leftrightarrow$  a fiber of a surface bundle over  $S^1$

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▷ 2-sided case

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Table 1

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**2-sided case**

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#### 1-sided case

#### Graph link case

	$n$ -fold composing space	cable space of type $(p, q)$	torus knot space of type $(p, q)$
<b>inner torus</b>	$\left( \lambda, \sum_{i=1}^n \mu_i \right)$	$(\lambda, p\mu)$	$(\lambda, 0)$
<b>outer tori</b>	$(\lambda, \mu_1),$ $\dots,$ $(\lambda, \mu_n)$	$(p\lambda, \mu)$	—
<b>remark</b>	$\lambda \neq 0$	$\mu \neq q\lambda$	$\lambda \neq 0$

**Boundaries of 2-sided horizontal surfaces**

# Theorem 1

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2-sided case

Table 1

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Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a 2-sided essential surface

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1-sided case

Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a 2-sided essential surface

(1)  $F$  : meridional or longitudinal



# Theorem 1

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1-sided case

Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a 2-sided essential surface

(1)  $F$  : meridional or longitudinal

(2)  $\exists F$  : meridional  $\Leftrightarrow K$  : not an iterated torus knot

# Theorem 1

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1-sided case

Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a 2-sided essential surface

(1)  $F$  : meridional or longitudinal

(2)  $\exists F$  : meridional  $\Leftrightarrow K$  : not an iterated torus knot

(3)  $K$  : an iterated torus knot  $\Rightarrow F$  : a Seifert surface  
 $F$  : preferred longitudinal

# Theorem 1

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1-sided case

Graph link case

$K$  : a graph knot

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Example

# Theorem 1

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## 1-sided case

## Graph link case

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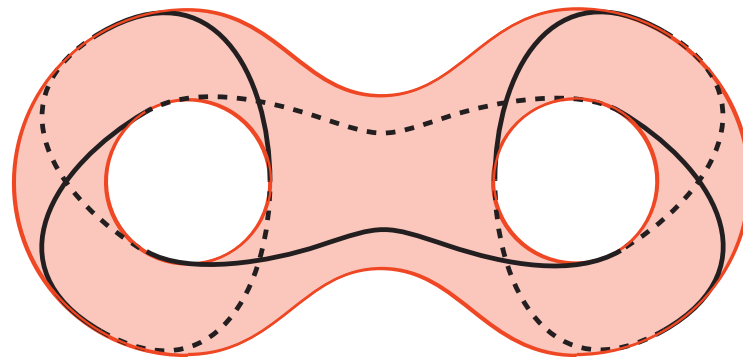
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## Example



# Theorem 2

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Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a closed essential surface of  $\chi(F) < 0$

Then

# Theorem 2

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1-sided case

Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a closed essential surface of  $\chi(F) < 0$

Then

$F =$  ( essential annuli in composing spaces )

∪ ( horizontal surfaces in cable spaces )

# Theorem 2

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Example

1-sided case

Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a closed essential surface of  $\chi(F) < 0$

Then

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∪ ( horizontal surfaces in cable spaces )

**Corollary**

Any iterated torus knot exterior contains  
no closed essential surface  $F$  of  $\chi(F) < 0$ .

# Example

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### Table 1

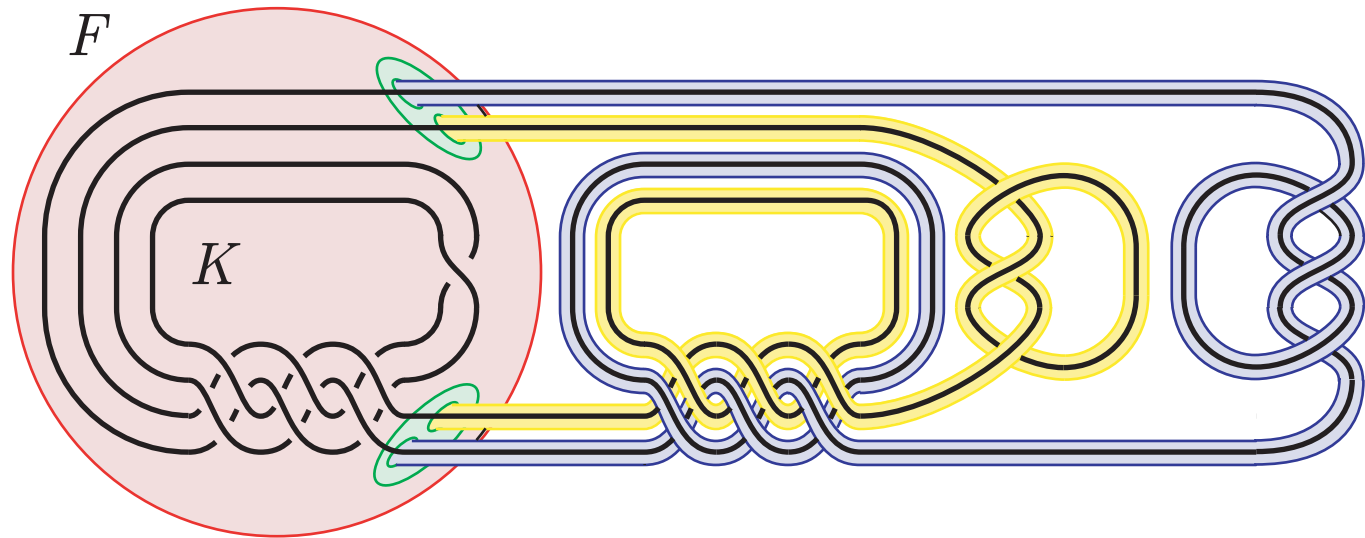
### Theorem 1

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### Graph link case





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▷ 1-sided case

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Known results

Table 2

Theorem 3

Graph link case

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# 1-sided case

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▷ **Known results**

Table 2

Theorem 3

Graph link case

## Lemma (Frohman and Rannard)

$M$  : a Seifert manifold

$\varepsilon_1, \dots, \varepsilon_n$  : exceptional fibers

$F \subset M$  : an incompressible surface

Then  $F$  can be isotoped so that

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▷ **Known results**

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$F \cap N(\partial M \cup \varepsilon_1 \cup \dots \cup \varepsilon_n)$  : possibly 1-sided

$F \cap E(\partial M \cup \varepsilon_1 \cup \dots \cup \varepsilon_n)$  : horizontal

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## Lemma (Frohman)

$V$  : a solid torus

- (1)  $\forall F \subset V$  : 1-sided incompressible surface,  
 $\partial F$  : type  $(2p, 2q + 1)$ , where  $p \neq 0$

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$V$  : a solid torus

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 $\partial F$  : type  $(2p, 2q + 1)$ , where  $p \neq 0$

(2)  $\forall l \subset \partial V$  : a loop of type  $(2p, 2q + 1)$ ,  
 $\exists F \subset V$  : 1-sided incompressible surface s.t.  $\partial F = l$

# Table 2

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Known results

▷ Table 2

Theorem 3

Graph link case

	cable space of type $(p, q)$	torus knot space of type $(p, q)$
inner torus	$(\lambda, \mu)$	$(\lambda, 2\mu)$
outer torus	$\left(p\lambda + 2\lambda', \frac{\mu + 2q\lambda'}{p}\right)$	—
remark	$\frac{\mu + 2q\lambda'}{p} \in \mathbb{Z},$ $\mu \neq pq\lambda$	$2\mu \neq pq\lambda$

Boundaries of possibly 1-sided horizontal surfaces

# Theorem 3

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▷ Theorem 3

Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a bounded incompressible surface

Then

# Theorem 3

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Known results

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▷ Theorem 3

Graph link case

$K$  : a graph knot

$F \subset E(K)$  : a bounded incompressible surface

Then

$\partial F$  : not of type  $(2p, 2q + 1)$ , where  $p, q \in \mathbb{Z}$



**Problems**

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**2-sided case**

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**1-sided case**

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**▷ Graph link case**

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**Composing spaces**

**Table 3-1**

**Table 3-2**

**Theorem 4**

**Table 4**

**Theorem 5**

# Graph link case

# Composing spaces

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Graph link case

▷ Composing spaces

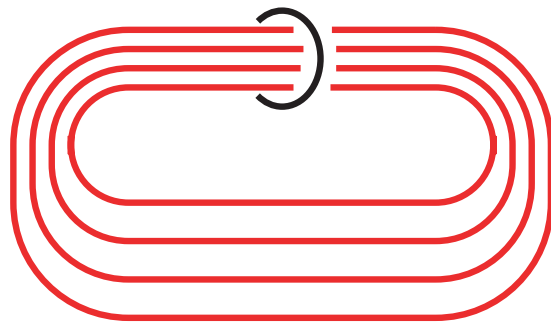
Table 3-1

Table 3-2

Theorem 4

Table 4

Theorem 5



Type I

**Red** : outer tori

**Black or Blue** : inner tori

# Composing spaces

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▷ Composing spaces

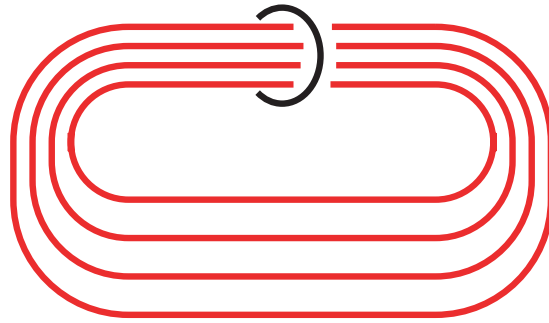
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Table 3-2

Theorem 4

Table 4

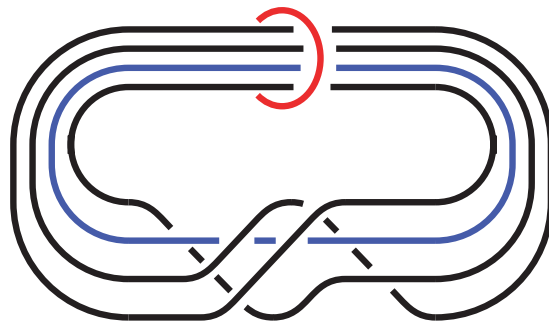
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**Black or Blue** : inner tori



Type II

# Composing spaces

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▷ Composing spaces

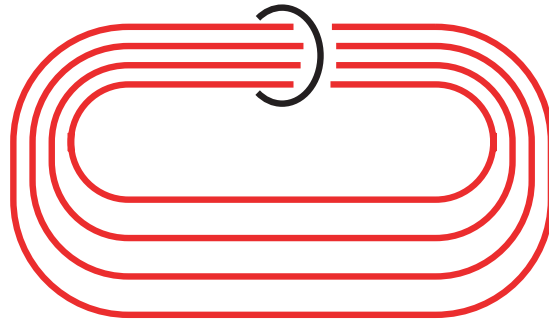
Table 3-1

Table 3-2

Theorem 4

Table 4

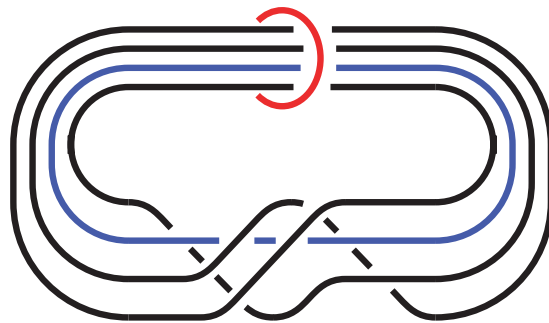
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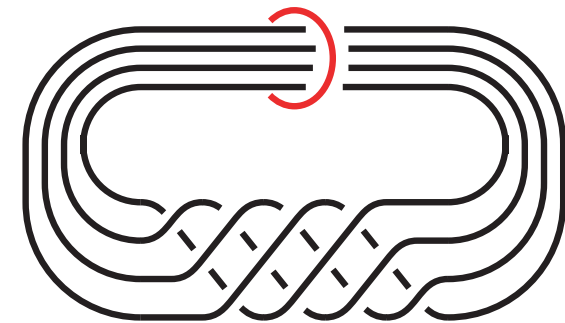
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Type II



Type III

# Table 3-1

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Graph link case

Composing spaces

▷ Table 3-1

Table 3-2

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Theorem 5

	$n$ -fold composing space		
type	I	II – $((n - 1)p, (n - 1)q)$	
inner tori	$\left( \lambda, \sum_{i=1}^n \mu_i \right)$	$\left( \lambda_1, \frac{\mu}{ p } + \frac{q\lambda_1}{p} \right)$	$(\lambda_2, \mu + pq\lambda_2),$ $\dots,$ $(\lambda_n, \mu + pq\lambda_n)$
outer tori	$(\lambda, \mu_1),$ $\dots,$ $(\lambda, \mu_n)$	$\left( \bar{\lambda}, \frac{\mu}{ p } + \frac{q\bar{\lambda}}{p} \right)$	
remark	$\lambda \neq 0$	$\bar{\lambda} = \lambda_1 +  p  \sum_{i=2}^n \lambda_i$	
	$\gcd(p, q) = 1, \quad  p  > 1, \quad \mu \neq 0$		

## Boundaries of 2-sided horizontal surfaces

# Table 3-2

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Composing spaces

Table 3-1

▷ Table 3-2

Theorem 4

Table 4

Theorem 5

	$n$ -fold composing space	cable space	torus link space
<b>type</b>	III – $(np, nq)$	$(np, nq)$	$(np, nq)$
<b>inner tori</b>	$(\lambda_1, \mu + q\lambda_1),$ $\dots,$ $(\lambda_n, \mu + q\lambda_n)$	$(\lambda_1, \mu + pq\lambda_1),$ $\dots,$ $(\lambda_n, \mu + pq\lambda_n)$	$(\lambda_1, \mu + pq\lambda_1),$ $\dots,$ $(\lambda_n, \mu + pq\lambda_n)$
<b>outer tori</b>	$(\bar{\lambda}, \mu + q\bar{\lambda})$	$\left( \bar{\lambda}, \frac{\mu}{ p } + \frac{q\bar{\lambda}}{p} \right)$	—
<b>remark</b>	$\bar{\lambda} = \sum_{i=1}^n \lambda_i$	$\bar{\lambda} =  p  \sum_{i=1}^n \lambda_i$	$\mu = -pq \sum_{i=1}^n \lambda_i$
	$\gcd(p, q) = 1, \quad  p  > 1, \quad \mu \neq 0$		

## Boundaries of 2-sided horizontal surfaces

# Theorem 4

Problems

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Table 3-1

Table 3-2

▷ Theorem 4

Table 4

Theorem 5

**$L$  : a non-splittable graph link**

**$E(L)$  :  $N$  Seifert manifold pieces**

**$\exists F \subset E(L)$  : a closed essential surface of  $\chi(F) < 0$**

**Then**

# Theorem 4

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Theorem 5

**$L$  : a non-splittable graph link**

**$E(L)$  :  $N$  Seifert manifold pieces**

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**Then**

**$N = 2 \Rightarrow L$  : an  $((p, q), (r, 0))$ -iterated torus link**



# Theorem 4

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## Theorem 5

$L$  : a non-splittable graph link

$E(L)$  :  $N$  Seifert manifold pieces

$\exists F \subset E(L)$  : a closed essential surface of  $\chi(F) < 0$

Then

$N = 2 \Rightarrow L$  : an  $((p, q), (r, 0))$ -iterated torus link

$N = 3 \Rightarrow L$  : (1) an iterated torus link of type

$((p, q), (r, 0), (t, u)), ((p, q), (r, s), (t, 0)),$

$(\underline{(0, q)}, (r, s), (0, u)), (\underline{(0, q)}, (r, s), (n, 0)),$

$(\underline{(p, q)}, (r, s), (n, npqr^2))$  or  $(\underline{(p, q)}, (r, s), (t, u)),$

(2) an iterated cable of the Hopf link of type

$(\underline{(p, q)}, (r, s), (n, npqr^2))$  or  $(\underline{(p, q)}, (r, s), (t, u))$

(3) a  $(2p, 2q)$ -torus link with both components **cabled**

( **red : special cable**, **blue : cable or special cable**,  
underline : exceptional component for iterated cabling )

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	$(np, nq)$ -cable space	$(np, nq)$ -torus link space
$\chi$	$(k, n,  p )$	$(k, n,  p ,  q )$
-1	$(2, 1, 2)$	$(6, 1, 2, 3), (6, 1, 3, 2)$
-2	$(3, 1, 3), (4, 1, 2)$	$(12, 1, 2, 3), (12, 1, 3, 2)$
-3	$(6, 1, 2), (4, 1, 4),$ $(2, 2, 2)$	$(18, 1, 2, 3), (18, 1, 3, 2),$ $(10, 1, 2, 5), (10, 1, 5, 2)$
-4	$(8, 1, 2), (6, 1, 3),$ $(5, 1, 5)$	$(24, 1, 2, 3), (24, 1, 3, 2)$

**Horizontal surfaces of  $\chi \geq -4$   
( $k$ -fold branched covers of the orbit-manifolds)**

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Theorem 5

	$(np, nq)$ -cable space	$(np, nq)$ -torus link space
$\chi$	$(k, n,  p )$	$(k, n,  p ,  q )$
-1	<b>(2, 1, 2)</b>	(6, 1, 2, 3), (6, 1, 3, 2)
-2	(3, 1, 3), (4, 1, 2)	(12, 1, 2, 3), (12, 1, 3, 2)
-3	(6, 1, 2), (4, 1, 4), <b>(2, 2, 2)</b>	(18, 1, 2, 3), (18, 1, 3, 2), (10, 1, 2, 5), (10, 1, 5, 2)
-4	(8, 1, 2), (6, 1, 3), (5, 1, 5)	(24, 1, 2, 3), (24, 1, 3, 2)

Horizontal surfaces of  $\chi \geq -4$   
 ( $k$ -fold branched covers of the orbit-manifolds)

# Theorem 5

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$L$  : a non-splittable graph link

$E(L)$  : no composing space

$F \subset E(L)$  : closed essential surface

Then

# Theorem 5

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$L$  : a non-splittable graph link

$E(L)$  : no composing space

$F \subset E(L)$  : closed essential surface

Then

$$(1) \chi(F) \geq 0 \text{ or } \chi(F) \leq -6$$

# Theorem 5

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▷ Theorem 5

$L$  : a non-splittable graph link

$E(L)$  : no composing space

$F \subset E(L)$  : closed essential surface

Then

(1)  $\chi(F) \geq 0$  or  $\chi(F) \leq -6$

(2) no cable space of type  $(4, 4r + 2)$

$$\Rightarrow \chi(F) \neq -6, -8$$

# Theorem 5

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▷ Theorem 5

$L$  : a non-splittable graph link

$E(L)$  : no composing space

$F \subset E(L)$  : closed essential surface

Then

(1)  $\chi(F) \geq 0$  or  $\chi(F) \leq -6$

(2) no cable space of type  $(4, 4r + 2)$

$$\Rightarrow \chi(F) \neq -6, -8$$

(3) no cable space of type  $(2, 2r + 1)$

$$\Rightarrow \chi(F) \neq -8$$