# Polynomial splittings of metabelian von Neumann rho-invariants of knots

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#### Knot concordance

A knot K in  $S^3$  is *slice* if  $K = \partial D$  for a locally flat 2-disk D in  $B^4$ . Note that  $S^3 = \partial B^4$ .

Two knots  $K_1$  and  $K_2$  are *concordant* if  $K_1 \# - K_2$  is slice. Here -K denotes the mirror image of K with reversed orientation. The *knot concordance group* C:

Concordance is an equivalence relation and the concordance classes form an abelian group C under # operation.

Cochran, Orr, Teichner (1999) found a filtration of C:

$$\cdots \subset \mathcal{F}_{(n.5)} \subset \mathcal{F}_{(n)} \subset \cdots \subset \mathcal{F}_{(1.5)} \subset \mathcal{F}_{(1)} \subset \mathcal{F}_{(0.5)} \subset \mathcal{F}_{(0)} \subset \mathcal{C}$$

 $\mathcal{F}_{(m)}$  = the set of *m*-solvable knots which is a subgroup of  $\mathcal{C}$ .

### *m*-solvable knots

 $M_K$  = zero surgery on K in  $S^3$ .

For a group G, let  $G^{(0)} = G$  and  $G^{(n)} = [G^{(n-1)}, G^{(n-1)}]$ , inductively. **Definition.** K is called *n*-solvable if  $M_K$  bounds a (spin) 4-manifold W such that

- The inclusion  $M_K \to W$  induces an isomorphism on  $H_1$ .
- The intersection form on  $H_2(W; \mathbb{Z}[\pi/\pi^{(n)}])$  has a dual pair of self-annihilating submodules  $L_1$  and  $L_2$ .
- The images of  $L_1$  and  $L_2$  in  $H_2(W)$  generate  $H_2(W)$ .

Here,  $\pi = \pi_1(W)$  and  $H_2(W; \mathbb{Z}[\pi/\pi^{(n)}])$  is  $H_2(\tilde{W}^{(n)})$  for  $\pi/\pi^{(n)}$  cover  $\tilde{W}^{(n)}$  of W. W is called an *n*-solution for K.

K is (n.5)-solvable if W admits (n + 1) self-annihilating submodule with (n)-dual.

**Theorem 1 (COT 1999)** Slice knots are *m*-solvable and  $\mathcal{F}_{(m)} < \mathcal{F}_{(m.5)} < \mathcal{C}$  for all  $m \in \frac{1}{2}\mathbb{Z}$ .

K is 0-solvable  $\iff$  K has vanishing Arf invariants.

K is 0.5-solvable  $\iff$  K is algebraically slice.

All known metabelian concordance invariants for 1.5-solvable knots vanish.

Abelian concordance invariants:

Levine's Seifert form invariants.

Matabelian concordance invariants:

Casson-Gordon invariants, Casson-Gordon-Gilmer invariants Kirk-Livingston's twisted Alexander invariants Letsche's invariants, etc.

#### von Neumann $\rho$ -invariants

Let M be a closed 3-manifold and  $\Gamma$  a group.

Given a homomorphism  $\phi : \pi_1(M) \to \Gamma$ , whenever  $(M, \phi) = \partial(W, \varphi)$ for some compact oriented 4-manifold W and  $\varphi : \pi_1(W) \to \Gamma$ ,

$$\rho(M,\phi) = \sigma_{\Gamma}^{(2)}(W,\phi) - \sigma_0(W) \in \mathbb{R}$$

where  $\sigma_{\Gamma}^{(2)}$  is the von Neumann signature of the intersection form on  $H_2(W;\mathbb{Z}\Gamma)$  and  $\sigma_0$  is the ordinary signature. (Cheeger-Gromov 85) For an *n*-solvable knot *K*, take  $M = M_K$  and *W* an *n*-solution to get  $\rho$  an invariant of *K*.

Using  $\rho$ -invariants, COT proved:

Theorem 2 (COT 1999 (n = 2), Cochran–Teichner 2004)  $\mathcal{F}_{(n)}/\mathcal{F}_{(n.5)}$  has elements of infinite order.

#### Metabelian von Neumann $\rho$ -invariants

Let 
$$\Lambda = \mathbb{Q}[t^{\pm 1}]$$
 and  $\Gamma = \mathbb{Q}(t)/\Lambda \rtimes \mathbb{Z}$ .

Let  $B\ell : H_1(M_K; \Lambda) \otimes H_1(M_K; \Lambda) \to \mathbb{Q}(t)/\Lambda$  be the Blanchfield pairing of K.

A submodule P of  $H_1(M_K; \Lambda)$  is said to be *self-annihilating* if  $P = P^{\perp}$  with respect to  $B\ell$ .

Given  $x \in H_1(M_K; \Lambda)$ , define  $\phi_x : \pi_1(M_K) \to \Gamma$  by

$$\phi_x(a) = (B\ell(x, a\mu^{-\epsilon(a)}), \epsilon(a)) \text{ for } a \in \pi_1(M_K),$$

where  $\mu$  is a meridian of K and  $\epsilon : \pi_1(M_K) \to \mathbb{Z}$  is the abelianization.

**Theorem 3 (COT 1999)** If K is 1.5-solvable, there exists a self-annihilating submodule P of  $H_1(M_K; \Lambda)$  such that  $\rho(K, \phi_x) = 0$  for all  $x \in P$ .

## **Polynomial splittings**

 $\Delta_K(t) = \det(A - tA^t) = \text{Alexander polynomial of } K.$ 

**Theorem 4 (Levine 1969)** Suppose that  $K_1$  and  $K_2$  have coprime Alexander polynomials. If  $K_1 \# K_2$  is algebraically slice, so are both  $K_1$  and  $K_2$ .

**Theorem 5 (S. Kim 2001)** Suppose that  $K_1$  and  $K_2$  have coprime Alexander polynomials in integer coefficients. If  $K_1 \# K_2$  have vanishing Casson-Gordon-Gilmer invariants, so do both  $K_1$  and  $K_2$ .

COT used von Neumann  $\rho$ -invariants to detect *n*-solvable knots not being *n*.5-solvable.

**Q.** Do splitting properties hold for  $\rho$ -invariants?

We find a positive answer for  $\rho$ -invariants associated with certain metabelian representations.

## Splitting of metabelian $\rho$ -invariants

We say K has vanishing  $\rho$ -invariants if there is a self-annihilating submodule P of  $H_1(M_K; \Lambda)$  such that  $\rho(K, \phi_x) = 0$  for all  $x \in P$ .

**Theorem 6 (Main Theorem)** Suppose  $K_1$  and  $K_2$  have coprime Alexander polynomials. If  $K_1 \# K_2$  has vanishing  $\rho$ -invariants, so do both  $K_1$  and  $K_2$ .

Application:

**Theorem 7 (T. Kim 2002)** There are infinitely many knots having nonvanishing  $\rho$ -invariants but vanishing Casson-Gordon invariants with coprime Alexander polynomials.

**Corollary 8** The knots in the previous theorem are all linearly independent in  $\mathcal{F}_{(1)}/\mathcal{F}_{(1.5)}$ .

This gives a new method of determining linear independence of knots in  $\mathcal{C}$ .

### Sketch of proof

Let P be a self-annihilating submodule in  $H_1(M_{K_1 \# K_2}; \Lambda)$  for which  $\rho$  vanish. Note  $H_1(M_{K_1 \# K_2}; \Lambda) = H_1(M_{K_1}; \Lambda) \oplus H_1(M_{K_2}; \Lambda)$ .

The projection of P into  $H_1(M_{K_i}; \Lambda)$ ,  $P_i$ , is a self-annihilating submodule for which  $\rho$  vanish.

That is, we can show

- 1.  $P = P_1 \oplus P_2$ , which follows from action of Alexander polynomial on  $H_1(M_K; \Lambda)$  and coprimeness of Alexander polynomials.
- 2. Each  $P_i$  is self-annihilating, which follows from nonsigularities of Blanchfield pairings.
- 3. For  $x \in P_i$ ,  $\rho(K_1, \phi_x) = 0$ , which follows from the splitting property of  $\rho$  under connected sum.

We are working on the following question:

**Q.** Do similar splitting properties hold in all  $\mathcal{F}_{(m)}$  for m > 1?

We have to use "coprimeness" of higher–order Alexander polynomials corresponding to  $\mathcal{F}_{(n)}$ .

Thank you very much.